Morphological re-activation and phonological alternations: Evidence for voiceless restructuring in German

Frans Plank

1. Yesterday's syntax, tomorrow's morphology, and today's phonology

As words descend from major word classes to lesser ones, especially that of uninflecting particles, not a far cry from affixhood, and as they more closely attach themselves to neighbouring words, you by now know what to expect of them: they will become part of single phonological or even morphological words and they will lose weight. Typically, as yesterday's syntax prepares to be tomorrow's morphology, nothing much happens that would excite the phonologist. And what happens phonologically will generally be found rather dull by the retrospective syntactician and prospective morphologist. Sometimes, though, words caught in the routine of shrinking and uniting give tell-tale, and rather more subtle, evidence that phonology matters for grammaticalisation, and vice versa.

When lexical words turn into grammatical forms, the ancestral word often survives, co-existing with its grammaticalised offspring. Despite the appearances of a continuing family resemblance between parent and offspring, phonology may reveal that in reality a split has occurred that is deep and irreparable. That is, when words are severed from near morphological relations in the process of grammaticalisation (or also of becoming morphologically inert for other reasons), and with them lose crucial phonological alternations, they are liable to be restructured. Should they, against the odds, manage to re-acquire relations, restructured phonological representations may become audible.

Final Devoicing (or "Auslautverhärtung) in German is implicated in such a scenario.

2. A parting of the ways

The German adverb weg [vɛk] 'away, off', as in (1), is grammaticalised from (the ancestor of) the noun Weg [veːk] 'way', or more precisely from a syntactic construction including this noun.

- (1) a. Geh weg! 'Go away!'
 - b. Das Geld ist weg. 'The money is gone.'
 - c. Er war so weg von ihr, dass er sie vom Fleck weg heiratete. 'He was so off (i.e., in raptures) about her that he married her from the spot off (i.e., on the spot).'

The way weg got dissociated from its lexical parent is instructive, though in most respects none too unusual. The following account is summarised from the *Deutsches Wörterbuch* (as usual referred to by the names of its initiators, Grimm and Grimm 1922), s.v. WEG (subst.) and WEG (adv.).¹

Much like in the case of English away ($<\bar{a}$ -weg < on-weg < on weg), the point of departure was the Old High German directional adverbial expression in weg 'on (the) way', accompanying verbs of autolocomotion such as 'go' and 'come'. 2 Semantically, what happened was that the meaning of literally getting going and thereby leaving a place was generalised to those of directed movement, separation, and mere absence, also licensing more idiomatic uses of weg as in (1c). With the preposition and noun never interrupted by modifiers or determiners, and thus always representing just one phonological word, they underwent univerbation; a syntactic construction thus turned into a morphological one. The attendant formal changes were a weakening of the unstressed initial vowel in the mid-twelfth century (enwec), and the subsequent assimilation or omission of the following nasal consonant (ewëc). Eventually, as first attested in the fourteenth century, what had remained of the former local preposition was dropped entirely, yielding wëc, a morphologically non-complex item.

Also, though in no connection whatsoever to grammaticalisation, syllable-final obstruents underwent devoicing since late Old or early

Middle High German, as quite faithfully reflected in the spelling (enweg > enwec). This final voiceless obstruent did not distinguish the adverb from the basic, nominative singular form of the noun. What did make the adverb more dissimilar from the noun was something that did not happen to it. Also in Middle High German (like elsewhere in West Germanic; see Lahiri and Dresher 1999), stressed open syllables inclined to be lengthened. If this introduced nonuniformity into inflectional paradigms, where some forms met the conditions for lengthening while others did not, there tended to be subsequent analogical levelling one way or the other. The adverb (en)wec was not affected by such lengthening (except in a few dialects given to lengthening vowels in syllables of almost all kinds), since its closed syllable was never opened by anything that would have followed it within the same word: it was an adverb of the kind that could not be inflected, and is therefore perhaps more appropriately referred to as a particle. The noun, however, took inflectional endings with an initial vowel, and its stem vowel accordingly lengthened in such forms: Weg [vek] NOM/ACC.SG-Weg-es ['vei.gas] GEN.SG, Weg-e ['vei.ga] DAT.SG/NOM/ACC/GEN.PL, Weg-en ['vei.gən] DAT.PL. Owing to levelling, in the direction that seems generally preferred in Standard German, its stem vowel ended up long even in the nominative singular, where the syllable was closed: [veːk].

Thus, the rather incidental net result of the adverb's immunity to sound change and analogy was that, with a short (or lax, or abruptly cut) stem vowel, its phonetic substance was less than that of the noun with its long (or tense, or smoothly cut) stem vowel—thus confirming the expectation that grammatical forms and items in minor word classes will generally have less volume than nouns, verbs, and adjectives.

3. Voice going unheard

With these grammaticalisation developments over and done with, the adverb weg joined a set of items—to be detailed below (Section 6)—which pose a delicate problem for German phonology (and attentive phonologists): what is the underlying, lexical, basic form of the final obstruent of weg and other such words (or word-parts)? One might of course also ask what is the basic form of the stem vowel; but since

regular quantity alternations are no longer part of the synchronic grammar, even though they remain intimately tied up with the nature of the following consonant, the answer here seems comparatively straightforward: what is heard at the surface—a short (or lax) vowel—will also be basic. By contrast, contrasts between voiceless and voiced obstruents depending on whether or not they are syllable-final have lost none of their vigour, at least in the case of plosives.³

There are essentially two answers that can be given (and indeed have been given, somewhere or other) to the question about the final obstruent of weg.

(A) It is—or, diachronically speaking, has remained—basically voiced (or lenis, or media), just like that of the noun Weg.

To be sure, the adverb weg, being inflectionally invariable, is not involved in alternations like the noun Weg, which takes suffixes with an initial vowel such that its stem-final obstruent syllabifies as the onset of the next syllable, in which position it is voiced. Even when it combines with a following stem in a compound and maximised onsets would be permissible, its final obstruent never resyllabifies across a morphological boundary (e.g., weg-laufen ['vɛk.laufən], *['vɛ.glaufən] 'run away', weg-arbeiten ['vɛk.ʔaʁ.baɪ.tən], *['vɛ.gaʁ.baɪ.tən] 'work off'). Although there is thus no overt evidence of the final obstruent's voicedness, assuming that it is voiced in its basic, lexical form, does not complicate the phonological machinery in the slightest. Provisions need to be made for basic voiced obstruents to be voiceless when syllable-final anyhow—and the adverb weg, lexically represented as /veg/ (or, even more abstractly, /verg/), would get a free ride on these provisions which are responsible, e.g., for the noun Weg, basically /verg/, ending up as [verk].

(B) It is—or, diachronically speaking, has been reanalysed as—basically voiceless (or fortis, or tenuis), in contradistinction to the final obstruent of the noun *Weg*.

Owing to the morphological inertness of such adverbs, the stem-final obstruent in weg is always syllable-final, hence gets no chance of ever

being voiced. So, why not take it at its face value, which is voiceless?

Of course, with Final Devoicing in general (or Final Fortition, to translate "Auslautverhärtung more closely), it seems that appearances could hardly be more deceptive, for no conceivable aspect of its phonology and phonetics is uncontroversial. And the differences of opinion are radical not only on ephemera but on major issues—e.g., as to whether voicedness or voicelessness is unmarked, or whether voice is really the relevant laryngeal property, or whether Devoicing/Fortition is really strengthening rather than weakening. Brockhaus (1995) gives an interim summary of the state of debate, plus a highly theory-bound proposal of her own.

However, for present purposes, essentially all that matters, and this is probably beyond controversy, is that there is some basic representation of words (or word-parts) with final obstruents where a distinction is made between those obstruents which are under all circumstances voiceless (or fortis or tenuis) and those which are voiceless when syllable-final and voiced (or lenis or media) when syllable-initial, like in *Rat* [Bait] NOM.SG of 'advice'—*Rat-es* ['Bai.təs] GEN.SG and *Rad* [Bait] NOM.SG of 'wheel'—*Rad-es* ['Bai.dəs] GEN.SG, respectively. While syllable-finally the voicing opposition is neutralised in favour of voicelessness (to be considered unmarked at least on these grounds), owing to a rule or a constraint to this effect, there must be some way of distinguishing obstruents which are voiceless in non-neutralising positions from those which are voiced when this is a positional option.

Thus, on analysis (A), both the noun Weg and the adverb weg are represented with a velar obstruent participating in the regular (de-)voicing alternation (marked for voicedness, assuming that voicelessness is unmarked), even though with the adverb the voicedness potential is never realised. On analysis (B), the grammaticalised word is represented with a voiceless final obstruent (left unmarked for voicedness), just like Rat 'advice'.

Empirically speaking it might seem an academic question in which of these two ways the final obstruent is represented in the adverb weg. Accordingly, it was on purely theoretical grounds, to do with the permissible extent of abstractness of basic representations, that analyses in the spirit of (A) or (B) have been argued to be superior in phonological descriptions of German where such subtleties were paid attention

to. Abstract, free-ride analyses à la (A) would typically be found congenial in the early generative literature (including Vennemann 1968: 171–173, 181, 391, where at least some such underlying voiced obstruents are assumed to be geminates, in a variation of theme (A)). But abstractness got reined in soon, most prominently by the Alternation Condition, as first suggested by Kiparsky (1968) and often refined afterwards. Its upshot is that learners will not posit basic forms different from surface forms unless they have good reasons, the best being alternations of a form itself. With invariable weg there are no such reasons, hence the theory dictates analysis (B).

That it is not facts but plausibility which decides in such cases is also the message of a popular early textbook, King (1969: Ch. 3.3). The illustration fittingly comes from Final Devoicing in German, or more precisely its remains in Standard Yiddish. In Yiddish (like in some other dialects of German), Final Devoicing has generally been lost, but there are a handful of words which look like they would continue to have their final obstruents devoiced; one of them happens to be *avek* [a.'vɛk] 'away'. King suggests that such relics rather result from a restructuring of underlying representations as voiceless, hence as impervious to the loss of the rule of devoicing, though he sees no way of knowing for sure what is going on in the lexicon of a speaker of Yiddish.

Actually, there *is* empirical evidence, so far apparently disregarded, which directly bears on this question in the case of speakers of German. It proves that lexical representations are indeed being restructured under such circumstances, along the lines of (B). It is the Alternation Condition at its bluntest that is thereby revealed to be effective. What needs refining, though, is the notion of alternations which are relevant for that condition.

4. Voice heard to be lost

The assumption so far was that weg and similar problematic words (or word-parts) are morphologically inert. In particular, there are assumed to be no morphologically related forms where a stem-final obstruent would be resyllabified as the onset of a following syllable.

This, however, is to ignore the possibility of function words being re-activated as members of major lexical classes, of words with a limited syntactic and morphological potential extending, or indeed reextending, their range. Insofar as the direction of the more familiar transitions of words from major into minor word classes is reversed, this can be considered a kind of degrammaticalisation. This type of degrammaticalisation, which might be termed RE-CATEGORISATION, is abrupt, and is to be distinguished from the gradual reanalysis of function words as members of major word classes.⁴ While it is more common for lexical words to be re-categorised in another lexical word class (especially nouns as verbs or vice versa), it is also possible for grammatical words to be upgraded to lexical ones.

The crucial kind of upgrading, encountered in German since the nineteenth century and characteristic of colloquial speech (see e.g. Küpper 1982: 30, 115; 1984: 3065), is the re-categorisation of adverbs, particles, or quasi-adjectives, originally all uninflecting and confined to predicative position, as full-fledged adjectives, admitted to attributive position and capable of inflecting for agreement. If there is any accompanying semantic change at all, it is to do with the general meanings of attribution and predication.

The one example which is sure to be quoted in this connection is the particle zu 'shut', as in (2), and it serves well to illustrate a phonological peculiarity attendant on such re-categorisations:

(2) a. Die Tür ist zu.
the door is shut
b. die zu(-n)-e Tür
the shut(-n)-NOM.SG.FEM.WEAK door

When words which end in a full vowel (stressed or unstressed) and which are not basic attributive adjectives are used attributively, they usually require, or at least permit, an epenthetic /n/ before a vowel-initial suffix. For impeccably basic adjectives of similar shape, avoiding hiatus by epenthetic /n/ is unheard of (froh-e/*froh-n-e 'glad', nah-e/*nah-n-e 'close', frei-e/*frei-n-e 'free'). In (3) some further examples with optional or obligatory epenthesis are given, some very colloquial

despite the highfalutin words,⁵ while (4) illustrates another option for some such upgraded attributive adjectives (especially non-basic colour terms), viz. to continue to resist inflection.

- (3) a. eine so-n-e Couch a such-n-NOM.SG.FEM.STRONG couch (almost equivalently: so ein-e Couch 'such a-NOM.SG.FEM couch')
 - b. rosa-n-e 'pink', lila-n-e 'lilac', chichi-n-e 'chichi', tabu(-n)-e 'tabu', solo-n-e 'solo', extra-n-e 'extra', solala(-n)-e 'so-so', anderswo-n-e 'elsewhere', entzwei(-n)-e 'asunder', anbei(-n)-e 'enclosed', k.o.(-n)-e [ka.'?oɪ.(n)ə] 'knocked-out', o.k.(-n)-e [?oɪ.'keɪ.(n)ə] 'okay'
- (4) eine rosa/lila/sepia/prima Couch (*sepia(-n)-e, *prima(-n)e) a pink/lilac/sepia/swell couch

Returning from final vowels to final obstruents, the adverb or particle weg is among the items that can be re-categorised as fully inflecting attributive adjectives. Corresponding to (1b), there is (5):

(5) das weg-e Geld the gone-NOM.SG.NEUT.WEAK money

In a way, weg's grammaticalisation is thus reversed: though not reverting to its original nounhood, as an adjective it has also reclaimed lexical status. In particular, it can inflect again, and inflectional suffixes begin with vowels. The stem-final obstruent which was confined to the syllable coda as long as there were no inflections now also appears in syllable onsets (or actually, ambisyllabically, indicated by under- or overdotting in transcriptions). And this contrast is the litmus test for voice: if it were basically voice-alternating (marked for voicedness), as it was before the noun got grammaticalised as an adverb and as it still is in the noun, it would naturally come out voiced in inflected forms as in (5). But it doesn't: the stem-final obstruent does not revert to voicing but stays voiceless (and the stem vowel short, but then open syllable lengthening is no longer productive), ['vɛkə].

In dialects where voiceless velars are spirants, the surface form of the adverb is [veç]. Assuming basic /veg/, this could result from spirantisation of the final obstruent (/vej/), followed by devoicing. The inflected upgraded form would then have to be ['vejə], with the no longer final obstruent undevoiced, as in the plural of the noun; but it is ['veça], proving that the form with the final obstruent both spirantised and devoiced has become basic.

5. Ab upgraded—and why not others, too?

Another telling item is *ab*. Its story is not one of grammaticalisation, but the phonological lesson it teaches is the same. Though always a local particle in its known past, meaning '(away, down) from, down' (and related to English *of*), it had an alternative form *abe* up to Middle High German times and perhaps later, and would therefore have had a voicing alternation and also one of vowel quantity, [?ap]-['?ar.bə]. If the stem-final obstruent had remained basically voice-alternating (marked for voicedness) after the overt alternation had been lost with the disappearance of *abe*, ⁷ the upgrading of the particle to an inflecting attributive adjective, as in (6), would bring it to light again.

(6) der ab-e Knopf the off-NOM.SG.MASC.WEAK button

Again, as with weg-e, it doesn't: non-syllable-finally, the obstruent remains voiceless (and the vowel short): ['?apə]. Modelled on upgraded adjectives with a final full vowel, forms with a more elaborate inflection including an epenthetic /n/ are also attested, such as ab-ene (Küpper 1982: 30); but the stem-final obstruent likewise remains voiceless.

Such upgraded forms are too colloquial to be used much in writing; when they are, their spelling causes discomfort. While there is never any hesitation about spelling devoiced obstruents with the letters normally expressing voiced variants as long as they are syllable-final, this seems odd when they are syllable-initial, as in wege ['vɛkə] and abe ['ʔapə]. No matter how transparent the morphological relation between uninflected and inflected forms, there is a temptation to resort to varying

the spelling of the latter, to something like wegge or wecke and abbe or appe (cf. Küpper 1982: 30).8

Due to the limited productivity of upgrading and to the scarcity of adverbs and other particles with a final obstruent whose voicelessness could conceivably be due to devoicing, words comparable in relevant respects to weg and ab are rare. At any rate, regardless of whether or not they were ever involved in a voicing alternation, should particle-like words ending in an overtly voiceless obstruent ever be upgraded on the models of zu, weg, and ab, their inflected forms will never show voicing—which implies that their basic form is perforce voiceless. Thus, more adventurous speakers might upgrade the adverb aus 'over, finished' (< MHG \bar{u}_3 , also a preposition meaning 'from', and no longer transparently related to aussen 'outside' and ausser 'except', both with voiceless [s]), but they would never consider its [s] to be represented as /z/:9

(7) a. Das Spiel ist aus [?aus].
the game is over
b. Erst ein auses ['?au.səs] Spiel ist gewonnen.
only an over game is won

Further non-conjectural confirmation of even more radical restructuring is obtained from one of the Southern dialects that have given up Final Devoicing. In Bavarian, the final, non-devoiced obstruent of the particle *ab* is overtly deleted (and the vowel is raised and rounded), [?ɔɪ]. There are distantly related forms, such as the directional adverb *abe* 'downwards', where the obstruent is syllable-initial and comes out as a voiced spirant, ['?ɔɪ.ve], pointing to /b/ in its basic representation. Yet when the particle itself acquires inflections owing to upgrading—as in (8), the Bavarian translation of (6)—no consonant at all surfaces stem-finally, proving that there no longer is one, underlyingly.

[vd:ong e.ics' sb] (8)

Another adverbial and prepositional particle that permits upgrading is *an* 'on' (Küpper 1982: 115), continuing Old and Middle High German *ana* and *ane* essentially unchanged as to category and meaning.

While nothing happens to its final nasal in Standard German, it overtly deletes in Bavarian, giving [?o:], or in some varieties also [?õ:], with nasalisation as a trace of the segment. The continuing underlying presence of a final nasal is revealed in words where vowel-initial suffixes can be added to the stem: e.g., [moɪ]—['maɪ.nɐ] Nom.sg and Nom.Pl of 'man' (Standard German Mann—Männ-er). Being morphologically inert, the particle an lacks such alternations. When it re-acquires them through upgrading, no nasal re-surfaces, proving once more that in such circumstances lexical representations are restructured:

(9) a. das an(n)-e Licht (Standard German) the on-NOM.SG.NEUT.WEAK light b. [(v)s '?or.ə lrexd] (Bavarian)

6. Inert and finally voiceless

In sum, as the two adverbs or particles weg and ab and eventual analogues are upgraded, their stem-final obstruents alternate as little in voicedness as in pairs such as those in (10), where stem-final obstruents are clearly basically voiceless.

(10) a. *dick-dick-e* 'thick', *fett-fett-e* 'fat', *schlapp-schlapp-e* 'slack' b. upgrading re-categorisations:

tiptop-tiptopp-e 'tip-top', ruckzuck-ruckzuck-e 'instantaneous',

fit-fitt-e 'fit' 10

The only possible conclusion is that this is because, like these, they are basically voiceless. They do not need to hitch a free ride to become voiceless because this is what they are to begin with (synchronically). After the earlier voicing alternation had been lost, with only the voiceless alternant surviving, which used to be accounted for by final devoicing, these words were reanalysed as ending in a basically voiceless obstruent. Therefore, when they acquired morphological relations owing to their re-categorisation, the voicing alternation could not be revived, no matter how superficially conducive the environments.

(Mutatis mutandis, the same conclusion holds for alternations of present and absent final consonants in Bavarian.)

Now, if final obstruents even of such words as once showed a voicing alternation are demonstrably reanalysed as basically voiceless after they ceased to alternate, this clearly justifies the assumption of basic voicelessness for other relevant words or word-parts even when it is not revealed through upgrading and concomitant morphological reactivation.

These alternation-free words include uninflecting conjunctions like und/?unt/'and', ob/?op/'whether', and als/?als/'when', prepositions like bis 'until' and aus 'from', pronouns like was 'what', das 'that' and es 'it', adverbs like weiland 'once', 11 and many major-class words, native or nativised, consisting of or containing closed syllables with or without morphemic status, such as Band 'music band' ([bent], as opposed to [bant] 'band, ribbon', same spelling), Smog 'smog' (another loan without vowel-initial, or indeed any, inflectional or derivational suffixes), Ad.miral 'admiral' (borrowed via Old French from Arabic amīr ar-raḥl 'emir of the fleet'), Ag.fa, Ed.gar, Eg.mont, Ib.sen, Ud.murt (all proper names), Molyb.dän 'molybdenum', Wod.ka 'vodka', Rug.by, Sub.jekt 'subject', As.best 'asbestos', Sand.wich, kid.nappen 'kidnap', ad. justieren 'adjust', wid. men 'dedicate', sub. altern 'subaltern', or ab.surd 'absurd'. These words or word-parts have no morphological relations which would allow syllable-final, hence perforce voiceless, [p, t, k, s] to alternate with syllable-initial voiced [b, d, g, z].

Final Devoicing is not always strictly final, but also applies to obstruents immediately followed by other voiceless obstruents in the syllable coda: e.g., frag-st [frakst] ask-2sg.¹² This example is one where basic voicing, /fra:gst/, though undone by regressive assimilation, is licensed by other inflected forms of this stem (e.g., the infinitive fragen ['fraks.gən]). With other words of such shape there may have been historical forms with a vowel breaking up the sequence of final obstruents, but now there are no alternations where the first obstruent of the cluster would be syllable-initial and voiced, and the logic inspired by the evidence of wege and abe suggests that the voicelessness of these obstruents is, or has become, basic too rather than being due to assimilation (sometimes contrary to their standard spelling, reflecting earlier voicing before a now elided vowel): e.g., Abt /apt/ 'abbot' (MHG

abbet), Papst/papst/'pope' (MHG bābes(t)), Obst/opst/'fruit' (MHG ob(e)3), hübsch/hypʃ/'pretty' (MHG hüb(e)sch).

7. Not with one voice

On the direct evidence of wege and abe, which is not ample but cogent, the conclusion was that if an overtly voiceless obstruent does not actually alternate with a voiced counterpart, its basic form will not be voiced or voice-alternating in a language with Final Devoicing of the kind found in German. What is not self-evident, however, is what counts as a relevant alternation.

Sometimes, it is only an alternative syllabification of the same word, regardless of morphological relations, which keeps alternations like those of voicing alive. Thus, if the only possible syllabifications of words like Adler 'eagle', red-lich 'honest' (which, despite the clearly identifiable adjectival suffix -lich, is for semantic reasons not synchronically relatable to forms of the same origin such as red-en 'to speak' or gerade 'even-numbered'), or Dogma 'dogma' were ['?axt.lv], ['wert.lvc], ['dok.ma], the syllable-final obstruents could be expected to be basically voiceless. But they can also be syllabified differently by different speakers or also the same speakers, sometimes in violation of sonority constraints on syllables: ['?ax.dlv], ['wer.dlvc], ['do.gma], which reaffirms basic voicedness.

Alternations in inflectional paradigms, as in Weg [veɪk]—Weg-e ['veɪ.gə] etc., are clearly the most potent inducements to have and to retain basic forms distinct from surface forms.

Alternations in words which are derivationally related should have the same effect. For example, the loanword *Snob* takes at least one productive derivational suffix, -ismus '-ism', which provides an alternation, [snop]—[snox.'bis.mus], and this presumably justifies basic /snob/, while *Smog*, another loan (listed above, along *Band* etc.), is derivationally rather inactive, and positing a basic form different from overt [smok] therefore seems unwarranted. In a possible resultative participle *ver-smog-t* 'affected by smog' the stem-final obstruent is not in an alternating position either and comes out voiceless; this participle is not part of a regular inflectional paradigm, and if other verbal forms

are created, by a kind of back-formation, it is at least possible, and possibly preferred, for the obstruent to stay voiceless also syllable-initially (actually, ambisyllabically), as in the imperative *ver-smog(g)-e* [fee.'smɔkə]/[fee.'smɔgə].

Now, relations in word-formation are not always so regular as in Snob-Snob-ismus. For example, both semantically and formally flug-s 'instantly' is only tenuously related to the verb flieg-en 'to fly' or the deverbal noun Flug 'flight' (plural Flüg-e), with the adverbial suffix -s being unproductive and with the ablaut alternation not being very regular either; so, positing anything other than /fluks/ as the lexical representation of the adverb would seem to be exaggerating the influence of words which are etymologically related but in time have become rather distant. Sometimes spellings confirm such suspicions, as when schupsen competes with schubsen 'to nudge' ['sup.sən], as if in indecision about the strength of the link with schieb-en 'to shove', with its stem-final obstruent basically voiceless, or when Stöv-chen ['støxf. çən] 'little stove (for keeping plates and kettles warm when on the table)' is (mis-)spelt Stöfchen, where the diminutive suffix is productive but the base does not occur independently and is not transparently relatable to stoven 'to warm' (Low German) or Stube '(warm) chamber'.

Thus, presumably, the more opaque derivational relations are, the weaker the support underlying forms distinct from surface forms can draw from alternations. Significantly, differing from even the opaquest derivational relations, there is no such support left at all once a relationship has been severed in the course of a word being grammaticalised or becoming morphologically inert otherwise.

Consider the case of *genug* 'enough', which could seem reminiscent of weg and ab, but really is not: here, a derivational relation audibly reasserts itself after upon upgrading. Like weg and ab, genug is primarily used in adverbial or predicative function (11a), hence is not itself inflecting. However, unlike those, it possesses a live derivational relation in the verb genügen 'to suffice', whose stem-final obstruent is syllable-initial and voiced with many inflectional endings. That this suffices to keep the obstruent of genug basically voiced (and the vowel potentially long) is showing when this word is upgraded to membership in an attributive phrase, roughly in the manner of weg and ab, with its final constituent attracting agreement inflections, as in (11b).

- (11) a. Das Schnitzel ist teuer genug [gə.'nuːk, gə.'nuːk]. the schnitzel is expensive enough
 - b. Das teuer genug-e [gə.'nuɪ.gə] Schnitzel the expensive enough-NOM.SG.NEUT.WEAK schnitzel

Other ostensible analogues of weg and ab are feind 'hostile, inimical' and also its less common positive companion, freund 'friendly'. Although here matters are even more intricate, synchronically and diachronically (see Grimm and Grimm 1862, s.v. FEIND), they go to show how remarkably sensitive obstruent voicedness is to structural distinctions, whenever it gets a fair hearing. As adjectives, feind and (less commonly) freund are typically predicative, with the stem-final obstruent syllable-final and voiceless as there are no inflections (12a). That this is an instance of a syllable-final obstruent devoiced, rather than of one basically voiceless, shows when inflections are added in less typical attributive uses, of which an intensifying compound of feind, spinne-feind 'extremely hostile' (literally 'spider-inimical'), admits most readily (12b).

- (12) a. Sein Nachbar war ihm spinnefeind [fpiṇə.'faint]. his neighbour was towards.him spider.hostile
 - b. sein ihm spinnefeind-er [ʃpɪnə.'faɪn.dɐ]
 his towards.him spider.hostile-NOM.SG.MASC.STRONG
 Nachbar
 neighbour

The retained underlying voicedness of the final obstruent of *feind* and *freund* is obviously due to their nominal relations, *Feind* 'enemy' (its English cognate is *fiend*) and *Freund* 'friend', which show the voicing alternation in their regular inflection for case and number (*Feind-e*, *Freund-e* NOM.PL, etc.). Actually, such relations between nouns and zero-marked corresponding adjectives are rather uncommon in German, and certainly do not represent a productive derivational pattern. (Also, the compositionally intensified adjective *spinnefeind* cannot be used as a noun at all.) Ultimately, this relation can be traced back to the Germanic origin of these words in active participles in *-nd-*, which split up into formally identical nouns and adjectives, and in the latter func-

186

tion were gradable (and perhaps still are in dialects), with comparatives and superlatives thus also showing the voicing alternation (feind-er, feind-est). Although there are only individual instances of such conversions among the word classes of nouns and adjectives, they were continually part of the synchronic morphology of German; participles (ultimately therefore verbs) turning into nouns and adjectives was all that may have changed diachronically. Crucially, as is revealed by the retained potential for obstruent voicedness, there was no discontinuity as is effected when a lexical item ends up grammaticalised.

Now, grammaticalisations, consisting of perhaps complex sequences of individual changes, are liable to be gradual, and so do not necessarily effect discontinuity at one fell swoop. The relevance of alternations accordingly should not be categorical, either. As an example, consider the adjective los(e) 'loose, not firm, not tightly fitting', which occurs predicatively as well as attributively (13a/b) and has the stem-final obstruent devoiced when it is syllable-final owing to the omission of the optional stem extension.

(13) a. Das Kleid ist los(e) ['lox.zə, loxs]. the dress is loose
b. das los-e ['lox.zə] Kleid the loose-NOM.SG.NEUT.WEAK dress

There are one or two strongly grammaticalised versions of *los*, namely as an invariable particle meaning '[going] on', as in *Was ist los?* 'What is (going) on? What is the matter?', and as a command to get going, as in *Auf die Plätze*, *Fertig*, *Los!* 'On your mark, Get set, Go!'. As these items are morphologically inert, they do not themselves show voicing alternations. And there is no direct empirical evidence that their final obstruent has indeed been restructured as voiceless, now that the link with the parent adjective, which would have provided alternations in support of basic /z/, has presumably been severed with grammaticalisation completed. However, there is a stage in between full lexicality and completed grammaticalisation, and this is suggestive of voice being (about to be) lost in this case, too. With the meaning 'unfastened', *los* is only comfortable in predicative position (14a). A further indicator of its ongoing grammaticalisation is the loss of the otherwise optional

stem extension -e. Still, the specialised new meaning is probably not far enough removed from the original one for a strict line to be drawn between the two, like that between the adjective and the particle(s). Reflecting its uncertain status, most speakers balk at using it attributively (14b), and when they do, they feel equally uneasy about having the obstruent voiced or keeping it devoiced.

(14) a. Der Hund ist los(*-e) [loss].
the dog is loose
b. der los-e ['los.zə?, 'los.sə?] Hund
the loose-NOM.SG.MASC.WEAK dog

There is a postposition halb [halp] 'for, because of' which coalesces with pronominals, as in des-halb and wes-halb 'for that/what, therefore/wherefore'. It is grammaticalised from the Middle High German noun halp or halbe 'side', derived from the adjective halb 'half'. It seems semantically distant enough from its parent word no longer to be under its influence concerning the underlying voicing status of the final obstruent. However, there are alternative forms of the postposition itself, viz. halber and halben, used with nominal and certain pronominal complements (e.g., des Friedens halber 'in the interest of peace', meinet-halben 'for my sake, as far as I am concerned') and going back to fossilised case forms of the noun halp/halbe; presumably, they provide alternations in support of basic voiced /b/. But halb is also used in combination with local elements to form adverbs and prepositions, such as ober-halb 'above' and ausser-halb 'outside'. The historical source is again the noun halp/halbe, in prepositional phrases like zuo oberhalbe 'at (the) upper side'. In this sense, -halb hardly links up with the inflecting adjective halb 'half' any longer; and it is itself invariable in its customary uses, as in (15a). So, if it could be inflectionally reactivated one way or another, one would expect its final obstruent to come out voiceless, owing to its isolation from salient alternations.

- (15) a. das Haus oberhalb ['?or.br.halp] the house above
 - b. das oberhalb-e ['?ox.br.hal.bə] Haus the above-NOM.SG.NEUT.WEAK house

The attempt in (15b) thwarts this expectation. The likeliest reason, however, is not that the expectation was fundamentally misguided, but that there is a phonological factor interfering: arguably, the sonorant preceding the obstruent prevents it from devoicing.

To wind up this brief phenomenology of alternations, in our paradigm case the adverb weg /vɛk/ too has potential alternations owing to words or word-forms with a corresponding voiced obstruent, namely zuwege [tsuɪ.'veɪ.gə] (as in zuwege bringen 'to get done'), univerbated from the Middle High German prepositional phrase ze wëge 'on the (right) way' but surviving unmaimed at the edges, and of course the nominative plural etc. of the noun Weg, Weg-e ['veɪ.gə]. However, with weg fully grammaticalised, these links seem to have been severed for good: on the evidence of the stem-final obstruent staying devoiced even when not syllable-final, they have not mattered ever after. Thus, the lesson of weg is that parent words and their grammaticalised offspring indeed do not count as morphologically closely enough related to supply alternations on whose basis the Alternation Condition would license abstract representations different from surface forms.

Whether this is confirmed by all grammaticalisations of words with stem-final obstruents where voicelessness used to alternate with voicing will remain a matter for conjecture. It is not that such forms are rare; some are sketched in (16), also illustrating several variations on the theme of grammaticalisation:

(16) a. preposition and conjunction *während* 'during', grammaticalised from the present participle of *währen* 'to last' (itself still an existing and regularly inflecting non-finite form), with inflected forms of the participle reanalysed as preposition (governing genitive or dative) + definite article:

währ-end-es Krieg-es ≫ last-ptcpl-gen.sg.masc war-gen.sg

während d-es Krieg-es

during the-GEN.SG.MASC war-GEN.SG (absolute genitive)

in währ-end-em Krieg >>

in last-PTCPL-DAT.SG.MASC war-DAT.SG

während d-em Krieg

during the-DAT.SG.MASC war-DAT.SG

- b. preposition *entsprechend* 'corresponding to', semi-grammaticalised from the present participle of *entsprechen* 'to correspond' (again, itself a form which continues to exist and to inflect)
- c. prepositions anhand 'by means of' and aufgrund 'on grounds of', semi-grammaticalised from the univerbated prepositional phrases an Hand 'at hand' and auf Grund 'on ground'
- d. adverb and interjection *gottlob* 'thank God', grammaticalised by univerbating a whole optative sentence, OHG *gote sī lob* 'to God be praise!'

But these a-morphological words are unlikely to be upgraded to inflectable words, à la weg and ab, and there is no other direct evidence for the retention or loss of basic voicedness of stem-final obstruents.

Acknowledgments

Peter Eisenberg, Mirco Ghini, Rüdiger Harnisch, Bernhard Hurch, Michael Wagner, and especially the editor of this volume lent a sympathetic ear and offered sound advice as, perhaps unexpectedly for them, voicelessness for me became something other than not being active, passive, antipassive, or middle.

Notes

- 1. Another source, here and subsequently, is the *Etymologisches Wörterbuch der deutschen Sprache* (Kluge 1995).
- 2. Static verbs such as 'be, remain' could also be accompanied by such a prepositional phrase, though with *in* plus the dative: *in wëge* '(be) under way'.
- 3. Especially with labial fricatives, voicelessness is prone to be generalised in many varieties of contemporary German, as in *doof* 'dotty' [doɪf]—doof-e ['doɪ.fə], where the northern standard is ['doɪ.və]
- 4. E.g., of local or comitative prepositions as transitive possessive verbs, as often seen in Afroasiatic and in other languages of North Africa; cf. Plank (1999).
- 5. Even re-categorised adjectives with final schwa seem tempted to take epenthetic /n/: die Mannschaft ist spitze 'the team is top'—eine spitze('-n-e) Mannschaft. With so (3a) and perhaps a few words in (3b), the source of epenthetic /n/ may be the reduced enclitic indefinite article (so=ne < so (ei)ne 'such a'), although a

190

further preposed indefinite article is permissible (eine so (ei)ne bequeme Couch 'a such a comfortable couch').

- 6. The reverse order would counterfeed spirantisation, which does not affect voice-
- 7. Though possibly etymologically related, the conjunction and modal particle aber ['?ar.be] 'but' is certainly not close enough to support basic voicing.
- 8. Another temptation, sometimes yielded to upon reflection but rarely in spontaneous speech, are spelling pronunciations: ['vɛġə], ['ʔaḥə].
- 9. Upgraded to a noun, das Aus 'the out' remains inflectionally inert.
- 10. Notice that with loans and other not-so-conventional words, it is in inflected forms-where the phonological contrasts of voicing and of vowel quantity are not neutralised—that spelling geminates tend to be used first. See Eisenberg (1991) on orthographic "Silbengelenksgemination.
- 11. Historically, this is an old instrumental plural of the noun for 'while', MHG wilen/wilan, with an epenthetic -d added, just like jeman-d 'someone' and nieman-d 'no-one', except that these latter words take further vowel-initial
- 12. A general phonological factor conducive to voicing, and possibly overriding morphological considerations (see below), is the neighbourhood of a sonorant.
- 13. Other such pairs of nouns and identical (predominantly predicative) adjectives where final obstruents can on a similar logic be assumed to be basically voiced include schuld 'guilt-guilty', leid 'sorrow-sorry', Huld/Hold-hold 'gracegracious'.

References

Brockhaus, Wiebke

Final Devoicing in the Phonology of German. Tübingen: Niemeyer. 1995

Eisenberg, Peter

Integration einer fremden Struktur: Die Gemination von Konsonant-1991 graphemen in deutschen Anglizismen. In: Eijiro Iwasaki (ed.), Begegnung mit dem 'Fremden', vol. 4, 341-347. München: Iudicium.

Grimm, Jacob, and Wilhelm Grimm

Deutsches Wörterbuch. Dritter Band: E-Forsche; Dreizehnter Band: 1862/

W-Wegzwitschern. Leipzig: Hirzel. 1922

King, Robert D.

Historical Linguistics and Generative Grammar. Englewood Cliffs, NJ: 1969 Prentice-Hall.

Kiparsky, Paul

How abstract is phonology? Bloomington: Indiana University Linguis-1968 tics Club.

Kluge, Friedrich

1995 Etymologisches Wörterbuch der deutschen Sprache. 23rd, expanded edition, edited by Elmar Seebold. Berlin/New York: Walter de Gruyter.

Küpper, Heinz

1982/84 Illustriertes Lexikon der deutschen Umgangssprache. Band 1: A—Blatt; Band 8: Susig—Zypresse. Stuttgart: Klett.

Lahiri, Aditi and B. Elan Dresher

Open syllable lengthening in West Germanic. *Language* 75: 678–719.

Plank, Frans

1999 Wie sich Präpositionen zu Verben wandeln: Der kurze und der lange Weg. Unpublished paper, Universität Konstanz.

Vennemann, Theo

1968 German phonology. Ph.D. dissertation, University of California at Los Angeles.