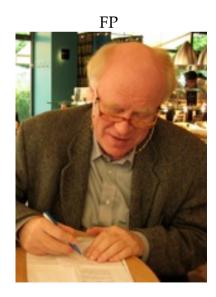
The direction of derivation; today: between abstract nouns and adjectives

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The question:

Can any generalisations be made about the direction of derivation?

concerning

- (particular senses of) (particular subsets of) particular lexemes,
- particular (subsets of) derivational categories,
- particular lexeme (=word) classes;
- for particular languages,
- across some languages (arbitrary or delimitable as subsets on independent grounds),
- across all languages.

To exemplify:

In Maltese, the noun *sbuħ-ija* 'beauty' is derived from the adjective *sabiħ* 'beautiful'.

Is this an observation (assuming it is a valid observation based on a correct analysis) about the asymmetric relationship between a <u>particular</u> couple of lexemes of a <u>particular</u> language, one a noun and the other an adjective – an abstract noun and an evaluative adjective, to be precise?

Or is this particular relationship the way it is because this is what is dictated by general (cross-lexemic, cross-categorial, cross-linguistic) regulations about derivation?

That is, will the answers to questions like the following be affirmative?

- When ABSTRACT NOUNS and EVALUATIVE ADJECTIVES are derivationally related in Maltese, are abstract nouns <u>always</u> derived from evaluational adjectives, rather than vice versa?
- When NOUNS and ADJECTIVES are derivationally related in Maltese, whichever the derivational category, are all nouns <u>always</u> derived from adjectives, and never any adjectives from any nouns?
- Are the directions of derivation, for particular or for all DERIVATIONAL CATEGORIES, the same in <u>some</u> or <u>all</u> other languages, too, rather than derivation in MALTESE being unique in point of direction?

Prelim: Derivation is inherently directional

What is involved in derivation are lexemes.

A LEXEME is a lexical/stored unit – a unit which grammar (phonology, syntax, lexeme formation) operates on – with:

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sense(s);form(s);[polysemy][allomorphy]
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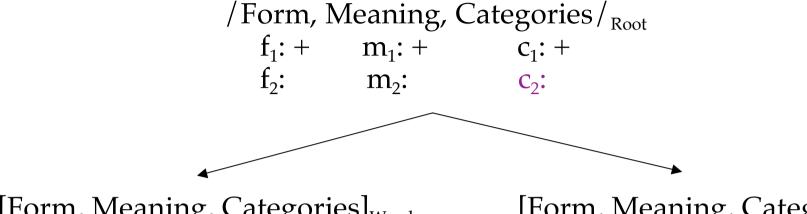
- categorial specification(s),
 which, together with form(s) and meaning(s),
 are to enable the rules/constraints of grammar
 to use and spell out all wordforms realising the lexeme:
 - lexeme (=word) class and perhaps subclass,
 - inflectional idiosyncrasies,
 - phonological idiosyncrasies.

Possibly, some/all such lexical units are represented in <u>several forms</u> in the mental lexicon:

- underspecified as to sense, form, categorial specification ("roots");
- fully specified ("stems"/"words").

With such underspecified representations, directionality is a matter of categorially specifying them (top picture), not of <u>altering</u> existing specifications (bottom).

Possibly, languages can do both, derive some stems/words from roots and some stems/words from stems/words.



[Form, Meaning, Categories]_{Word}

$$f_1$$
: + m_1 : + c_1 : + f_2 : + m_2 : +

[Form, Meaning, Categories]_{Word}

$$f_1$$
: + m_1 : + c_1 : + c_2 : -

[Form, Meaning, Categories]_{Word} → [Form, Meaning, Categories]_{Word}

$$f_1$$
: + m_1 : + c_1 : + c_2 : + c_2 : +

$$f_1$$
: + m_1 : + c_1 : + c_2 : -

"Derivation" means: There is a Lexeme A (the BASE) which is basic (or motivating), and a lexeme A' (the DERIVATIVE) which is derived from (or motivated by) A.

Which is perhaps to be distinguished from "derivedness", an asymmetric relation holding when both derivationally related lexemes are lexicalised, rather than only one being stored in memory and the other being productively derived from it on the spur of the moment.

"Derivation" and "derivedness" must be recognised as being inherently asymmetric, however this one-way dependency of one lexeme on another is implemented in a descriptive framework and however it will turn out to be dealt with in the human brain as lexemes are stored and accessed, produced and processed.

The relation between derivationally related lexemes remains fundamentally asymmetric even when it cannot be <u>unambiguously</u> <u>determined</u> as being either one way or the other: in such instances the direction goes both ways and derivation/derivedness is "mutual".

For example, when we seek to determine whether the English nouns *travel* and *journey*, designating acts, are derived from the corresponding verbs *travel* and *journey*, designating actions, or vice versa, and neither option can be safely discarded, why not accept that derivation/derivedness is mutual.

Alternatively, one would assume that the derivational relationship in such cases is non-directional.

There remains a further question, namely whether derivation perforce involves <u>all</u> properties of lexemes – their sense(s), form(s), and categorial specification(s) – or may individually only target <u>some</u>.

It would seem reasonable, for instance, to allow derivation to only target <u>individual</u> senses, rather than <u>all</u> senses, in the case of polysemous lexical items (Plank 2009).

Perhaps generalisation to all privative/non-equipollent oppositions:

derivation: word/stem X is derived from word/stem/root Y

inflection: term X is asymmetrically related to term Y within the

same category (e.g., PLURAL relative to SINGULAR,

FEMININE relative to MASCULINE)

First: **How is the asymmetry between basic and derived manifested?** (and how can direction of derivation/derivedness be established accordingly in linguistic analysis?)

There are several independent considerations, and the more they are in agreement, the clearer the direction of derivation / derivedness.

SEMANTIC-CONCEPTUAL COMPLEXITY: (tends to be) greater of derivatives than of bases

e.g., 'to be alive' is a less complex concept than 'not to be alive', its <u>negative</u> opposite

(but then, 'to be dead' is less complex than 'not to be dead', which raises the further question of which of the two semantically equivalent conceptualisations of the opposition DEAD – ALIVE is more basic than the other),

which in turn is a less complex concept than 'to undergo a <u>change of state</u> from being alive to not being alive' (the corresponding cessative/inchoative, involving two <u>states</u> rather than only one), which in turn is a less complex concept than 'to cause a change of someone's state from being alive to not being alive' (the corresponding <u>causative</u>, involving an additional relation and argument).

As it happens, in English, none of the most salient lexemes for these concepts of different complexity is morphologically derived from any of the others: alive - dead - die - kill; dead from die is not synchronically transparent; at any rate, semantic-conceptual complexity is not contradicted by morphological complexity (to be dealt with presently).

In German, the causative verb is morphologically derived, not from the inchoative verb, but from the stative adjective: lebend(ig) - tot - sterben - t"ot-en, and a formal-register cessative verb is derived from a state verb: leben - ab-leben, which sort of makes sense in terms of semantic-conceptual complexity; otherwise again no contradictions between morphological and semantic-conceptual complexity.

But what about, e.g., Engl A's *loose*, *wide* and V's *loos-en*, *wid-en* with (end-)state (A) morphologically less complex than change-of-state (V), in comparison with A's *brok-en*, *a-wash* and V's *break*, *wash*, where (end-)state (A) is morphologically more complex than (even caused) change-of-state (V)?

It is especially such contradictions between morphological (relatively tangible) and semantic-conceptual complexity which raise the question of how semantic-conceptual complexity is to be established in the first place, even if it is admitted to not completely determine the direction of morphological derivation/derivedness.

Well, in semantic-conceptual terms in their own right, obviously. But then, the right decisions are not always self-evident.

- What is a more complex conceptual operation, to assert or to negate?
- What is more complex to conceive of, an end-state of a change-of-state or the change-of-state itself?
- A change-of-state occurring automatically or brought about by an external cause?

Are the answers dependent on linguistic structures of the languages concerned (in particular, on generalisations about what is expressed through morphologically and syntactically basic expressions)? Or are they subject to cultural differences between speech communities'

How can such questions be approached empirically?

If speakers of English, when asked about the meanings of lexemes, define them one in terms of the other, but not vice versa, then this would seem to justify the assumption of asymmetric semantic-conceptual relations between them.

Thus, if the meaning of N *buy* is defined by linguistically naive speakers of English as 'a thing which you buy' or 'an act of buying', while they would <u>not</u> define the meaning of V *buy* as 'to do what is required to perform a buy/purchase or to acquire a buy/a possession', then that N would seem to be semantically-conceptually more complex than the corresponding V for these speakers.

And similarly if the meaning of *kill* is defined as 'to cause to die', and that of *die* as 'to cease to be alive', and that of *dead* as 'not alive'.

If in the case of N–V pairs such as *travel* and *journey*, their N and V meanings turn out to be interdefined with equal ease (and perhaps with equally little gain: *to travel/journey* means 'to make travels/journeys', *travel/journey* means 'acts of travelling/journeying'), this would confirm that the direction of derivation, on semantic-conceptual grounds, indeed is two-ways.

FORMAL COMPLEXITY: greater of derivatives than of bases

X is morphologically derived from Y (asymmetrically related to Y) if X is the result of **any** kind of formal operation performed on Y

- segmental-additive (including reduplication: affixation of abstract affixes)
- segmental-subtractive
- segment-modificational
- suprasegmental-modificational
- segment reordering (metathesis: which order is basic?)
- segment replacement recognisable as directed if there is an asymmetri formal pattern (e.g., replacing vowels are <u>always</u> front/high <u>regardless</u> of PoA of replaced vowels)
- stem replacement (suppletion: directed? Which stem is basic? determined in analogy with non-suppletive expression of the same semantic relation: *mara* 'woman' basic *nisa* woman.PL derived because of *triq* 'road' *triq-at* road.PL, *bniedem* 'man' *bnedm-in* man.PL, *tifel* 'boy' *tfal* boy-PL etc.)

zero – on condition there is an associated directed semantic operation?

being derived should also subsume

 phonological, semantic, morphosyntactic specification of underspecified representation (i.e., words derived from roots)

generalised:

- being derived means being associated with **any** distinct formal pattern of any kind that is also used for <u>other lexical items</u> to express the <u>same</u> meaning difference (including particular CVCVC patterns)
- being basic means not being limited to any particular formal pattern, except those constraints holding for the vocabulary as a whole

- e.g., Engl A's colourful, beautiful are more complex than N's colour, beauty, insofar as they have a suffix added (which is probably at odds with other considerations in the case of beautiful, designating a property, and beauty, designating an abstract quality, with quality conceptually more complex than property and the corresponding word more frequent);
- e.g., German N *Studi*, student jargon for 'student', is more complex than N *Student*, the regular term, insofar as
- (i) it has additional segmental substance, contributed by suffix -i;
- (ii) it has undergone segmental reduction, viz. to a maximal syllable (formally, the asymmetry consists in / ʃtud/ being predictable from / ʃtu.dɛnt/, but not the other way round);
- e.g., Engl V *house* /hauz/ is more complex than N *house* /haus/ insofar as it has undergone voicing of its final fricative (assuming that the final fricative is lexically voiceless);

- e.g., German weak/transitive verbs (such as *fäll-en* 'to fell', 3SG PRES *fäll-t*, PAST *fäll-t*; *erschreck-en* 'to frighten', 3SG PRES *erschreck-t*, PAST *erschreck-t*-) are formally more complex than corresponding strong/ intransitive verbs (*fall-en* 'to fall', 3SG PRES *fäll-t*, PAST *fiel-*; *erschreck-en* 'to be frightened', 3SG PRES *erschrick-t*, PAST *erschrak-*) insofar as they undergo a dissociation of specification of (lexically underspecified) stem vowels from morphological categories, and instead have their stem vowels specified by phonological default (Plank & Lahiri 2009);
- e.g., Engl N's bin, buy and V's bin, buy are formally equally complex (conversion), despite clear conceptual-semantic asymmetries; and ditto for N's travel, journey and V's travel, journey, which seem conceptually-semantically more symmetric.
- e.g., Engl transitive/causative V *kill* and intransitive V *die*, Engl basic A *bad* and comparative A *worse* are formally <u>equally complex</u> (suppletion), despite conceptual-semantic asymmetry.

• Maltese *kbir* A 'great, large' – *kobor* N 'greatness':

the pattern/binyan CoCoC is not limited to a particular meaning: e.g., <code>ġobon</code> 'cheese', <code>hoġor</code> 'lap', <code>xogħol</code> 'work' (N), <code>ħomor</code> 'red', <code>boloh</code> 'foolisl the pattern CCiC is not limited to a particular meaning either: e.g., <code>fqir</code> 'poor', <code>żbib</code> 'raisin', <code>inbid</code> 'wine'; everything else (e.g., more segmental substance in CoCoC) is irrelevant.

• Maltese *kittieb* N 'writer' – *kiteb* V 'he wrote':

the pattern/binyan CiC'CieC is limited to a particular meaning: 'person habitually/professionally performing the action designated by the root or its verbal specification'; e.g., nissieġ 'weaver', giddieb 'liar';

the pattern CiCeC is not limited to a particular meaning.

- MORPHOLOGICAL REGULARITY: derivatives are morphologically regular, subject to general rules (defaults); bases are possibly irregular, subject to specific rules or constraints
 - e.g., Engl V buy, irregular PAST bought N buy, regular PL buy-s [z];
 - e.g., Engl N foot, irregular PL feet V foot, regular PAST foot-ed;
 - e.g., German intransitive V *fallen*, *erschrecken* PAST *fiel-*, *erschrak*-strong (Ablaut) transitive / causative V *fällen*, *erschrecken* regular PAST *fäll-t-*, *erschreck-t-* weak (uniform dental suffix);
 - e.g., Stuhl M 'chair', Bank F 'bench', Bett N 'bed', with genders assigned lexically (and the genders here are different for these designations of furniture, notwithstanding certain regularities for the assignment of gender elsewhere) DIMIN Stühl-chen, Bänk-chen, Bett-chen, all N, with uniform gender due to DIMIN.

- SEMANTIC WORD-CLASS PROTOTYPICALITY: (tends to be) greater of bases than of derivatives
 - To exemplify what is potentially a subtle and elusive asymmetry, and one of limited scope for determining directions of derivations:

The meaning of Engl V *cheat* 'to behave in a dishonest way in order to get an advantage' conforms to what words of this word class prototypically mean in this language with a three-way distinction of major lexical word classes (V, A, N):

- verbs are words prototypically designating actions (activities, achievements, accomplishments), perceptions, sensations;
- nouns are words prototypically designating persons, things, places;
- adjectives are words prototypically designating properties and states.

The derivationally related Engl N *cheat* with the sense 'person who cheats' equally shows the prototypical semantics of its word class, N, designating persons, and on these grounds cannot be recognised as derived from the corresponding V.

(On the grounds of definability, however, it can: *a cheat* is 'a person who cheats', while it would be odd to define the meaning of *to cheat* as 'to behave in the manner of cheats'.)

The Engl N *cheat* in the sense 'an act of cheating or deception', on the other hand, is seen to be derived on these grounds: though designating a spatio-temporal particular such as an act or event, it does not designate something concrete in the manner prototypically associated with the word class N in English.

In a similar vein, comparing Engl V–N conversion pairs such as *bin* – *bin* and *dump* – *dump*, designating actions and places respectively, one could note that the V *bin* is less prototypically verbal than V *dump* insofar as its meaning, while an action, has a nominal component, namely that specifying the place where something is to be moved (see next point); hence, the asymmetry between V *bin* and N *bin* would, on these grounds, be less marked than that between wholly verbal V *dump* and wholly nominal N *dump*.

 SEMANTIC SPECIFICITY AND SYNTACTIC LIMITATIONS OF DERIVATIVES ACCRUING FROM BASES, but not the other way round

e.g., in English V *bin* 'to get rid of something undesirable by putting it in a bin' is derived from N *bin*, whereas N *dump* 'a place where something undesirable is deposited and thereby gotten rid of' is derived from V *dump*.

The semantic relationship between the two N–V pairs is in fact parallel: N 'a place (receptacle) where something undesirable (rubbish) is gotten rid of – V 'to get rid of something undesirable by putting it in a designated place' (unclear which is conceptually basic and derived).

But when V is derived, it is more specific insofar as the place of disposal – an oblique/adverbial object if expressed overtly – must literally be what the basic N designates, a bin (*They binned their litter in a pond); when V is basic, there is no such limitation accruing from the corresponding derived N (They dumped their rubbish in a pond).

• generally, INHERITANCE: derivatives may inherit (something phonological, morphological, syntactic, or semantic) from their bases, but not the other way round

- generally, CONSTRAINTS:
 derivatives may be subject to constraints specifically on inputs or
 outputs of derivation, which would not be applicable to bases
 (with bases not outputs nor necessarily inputs of derivation)*
 - * An example where it appears to be the other way round (Don 2005):

 In Dutch, there is a constraint on basic verbs:
 their stem must not end in a monophthongal full vowel;
 zero-derived denominal verbs, however, are not subject to this constraint:

 koffie-en 'to drink coffee', kano-en 'to canoe', taxi-en 'to go by taxi'.

 FREQUENCY: higher for bases than for their derivatives; or rather the other way round, looked at diachronically: what occurs more frequently is likelier to be (have become) a basic expression than what occurs less frequently.

What then remains to be accounted for is why something is more frequent than something else – for reasons of perceptual or cultural salience, cognitive simplicity, ...

e.g., Which is more frequent, N beauty (more complex conceptually [?] and less complex formally), length (more complex conceptually [?] and also more complex formally) or A beautiful (less complex conceptually [?] and more complex formally), long (less complex conceptually [?] and also less complex formally)?

N *journey* is presumably more frequent than V *journey*, while V *travel* is probably more frequent than N *travel(s)*, tipping the scale in favour of recognising one-way directionality even in such cases where one might otherwise accept mutual derivation (N –> V with *journey*, V –> N with *travel*).

But the differences may be small, which raises the question of where to draw the line when frequency asymmetries acquire structural relevance and motivate directions of derivation.

HISTORICAL PRIORITY: bases earlier than their derivatives

Does this matter, given that learners/speakers will lack synchronic clues to relative chronologies (unless they have a chance to naively practise internal reconstruction)?

And there are backformations: e.g., Engl. V *televise* backformed from N *television*, Lat N *pugn-a-* 'fight' backformed from V *pugn-a-re* 'to fight' (originally derived from *pugn-u-s* 'fist').

Are they synchronically also instances of formally-simple derived from formally-complex? Probably not forever. But at what point is the direction of derivation reversed to formally-complex from formally-simple?

With direction of derivation/derivedness seen to be multi-factorial, with the several factors not always neatly correlated and with conceptual semantics not the reliable guide it has often been taken for, back to our question:

How is the direction of derivation determined? Is it predictable?

Given a semantic opposition encoded through derivational categories, is the direction of derivation predictable

- for all particular lexeme pairs participating in this semantic opposition?
- for each particular language (i.e., with languages randomly differing from one another)?
- for all languages alike, unconditionally or perhaps depending on other typological parameters?

Optimistic answer: Yes!

Two grounds for optimism:

A. Iconicity rules ok.

Since it is [obviously] semantic-conceptual complexity which is the determinant [Is it?], directions are predictable and will be universally the same <u>for any given asymmetric</u> (<u>non-equipollent</u>) <u>categorial opposition</u>.

B. Minimal Effort rules ok.

For any categorial opposition, one or the other opposite will occur more frequently <u>depending on the lexeme</u>, and this will be expressed in the simplest way possible (as a basic lexeme), with the less frequent meaning expressed in a more complex way (as a derivative) <u>for this pair of opposites</u>.

Pessimistic answer: No.

It's not predictable, neither universally nor languageparticularly, but has to be determined (i) language by language, (ii) derivational category by derivational category, (iii) even lexeme by lexeme what is basic and what derived.

The reason it that what needs to be derived depends on what is available as basic, i.e., on what happens to be lexicalised as part of the **basic vocabulary** of a language. And basic vocabulary is random.

[Is it? Isn't cognitive-cultural salience a reasonably accurate predictor of basicness?]

Probably the right answer, as usual: It depends,

namely on the categories concerned and on the lexical-semantic fields where such derivation occurs. For <u>some</u> categorial oppositions [but why these and not others?] and for <u>some</u> lexical-semantic fields [again: why these and not others?], the direction of derivation is predictable [but on which grounds: iconicity or minimal effort?] – within a language and probably also universally.

Interlude (needs elaboration): What are possible derivational categories?

Functions of derivation (generally speaking: expressive enrichment, vis-à-vis existing <u>basic vocabulary</u>, subject to the demands of <u>syntax</u>) – and what this may mean for direction of derivation

- Through derivation expressions are created for those relationalsyntactic slots for which basic lexemes are missing.
- Through derivation expressions are created which can be used in syntactic slots different from those of the corresponding basic lexemes in particular:
 - (i) process/state and (ii) result nominalisations of verbs, and vice versa, "contextual" verbalisations of nouns;
 - abstract-quality nominalisations of adjectives/adverbs, and vice versa, property adjectivalisations of nouns.

- Through derivation expressions are created which are in cognitively/culturally salient paradigmatic relations to each other, when basic lexemes expressing concepts thus related ("suppletion") are missing:
 - pre-state, change-of-state, post-state;
 - opposites (negation, reversative, converse, ...);
 - individual, collective;
 - affective/evaluative modification (diminutive, augmentative);
 - ...

Sbj/AGT +ANIM	dObj/effPAT –ANIM	V _{trans} MAKE/TELL	Adv/INS –ANIM	Adv/PLACE –ANIM
Dicht-er <u>poet</u>	Ge-dicht/Dicht-ung poet-ry	<u>dicht-</u> <u>compose/write</u>		
cook	cook-ie/cake	<u>cook</u>	cook-er	
Sbj/AGT +ANIM	dObj/affPAT ±ANIM	\mathbf{V}_{trans}	Adv/INS –ANIM	Adv/PLACE –ANIM
person	rubbish	dispose-of <u>dump</u>		rubbish-place dump

bin

Sbj/AGT +ANIM	dObj/PAT –ANIM	iObj/REC +ANIM	V _{ditrans} GIVE/TAKE	Adv/INS –ANIM	Adv/PLACE –ANIM
giv-er don-or	gif-t don-ation	giv-ee	<u>give</u> <u>donate</u>		
award-er	award	award-ee	<u>award</u>		
	<u>prize</u>		<u>give</u>		
<u>thief</u>	goods	victim	<u>steal</u>		
robb-er	_	victim	<u>rob</u>		
begg-ar			<u>beg</u>		
teach-er	<u>lesson</u>	<u>pupil</u>	<u>teach</u>		<u>school</u>
Lehr-er	Lehr-e	Lehr-ling	<u>lehr</u>		Lehr-e

Derived Nouns

N from N

Affective/Evaluational

Diminutive/Endearment

Augmentative/Pejorative

Hypocoristic

Quantificational

Collective

Singulative

Person originating from place

Place inhabited by persons

(hill-ock – hill), It. donn-ina – donna (earth-ling – earth), It. donn-ona – donna dogg-ie – dog, dadd-y – dad, Charl-ie –

Charl(es), book-ie – book(-maker)

king-dom – king, neighbour-hood – neighbour

brother-hood - brother,

professor-ate – professor

information – piece of information

island-er – island, London-er – London,

Japan-ese – Japan, Israel-i – Israel

Slovak-ia – Slovak, Turk-ey – Turk,

Den-mark – Dane, Fin-land – Finn,

Kazakh-stan – Kazakh

Person habitually doing something relating to thing

hatt-er – hat, theolog-ian – theology, trick-ster – trick

Animate being of opposite gender

Abstract / State

poet-ess – poet, vix-en – fox, lion-ess – lion child-hood – child, infan(t)-cy – infant friend-ship – friend, relation-ship – relation, idio(t)-cy – idiot, slave-ry – slave, Calvin-ism – Calvin

N from V

Player of semantic role

Agentive employ-er – employ, sail-or – sail, li-ar – lie,

cook – cook, cheat

Instrumental cook-er – cook, whistle, rattle

Locative sleep-er – sleep, two-seat-er, dump, bend

Temporal spring, fall

Patientive employ-ee – employ, stand-ee – stand,

found-ling – find, deposit, award

Action arriv-al – arrive, educat-ion – educate,

acknowledge-ment – acknowledge,

behav-iour – behave, arrest

grin, limp

State consist-ence – consist, bankrupt-cy – bankrupt,

modern-ism – modern,

fear, desire

? smell, taste, feel

Result build-ing – build,

propos-al – propose

N from A

Abstract quality wid-th – wide, kind-ness – kind, real-ity – real,

modest-y – modest

Abstract X false-hood – false, tru-th – true

Person with property young-ster – young

Derived Adjectives

A from A

Negative un-wise – wise

A from N

Comparative child-ish – child, child-like – child,

pictur-esque – picture

Manner friend-ly – friend, book-ish – book,

natur-al – nature, Luther-an – Luther,

chaot-ic – chaos

Origin/Provenance

Proprietive

Japan-ese – Japan, Turk-ish – Turk,

talent-ed – talent, narrow-minded,

gold-en – gold, wooll-en – wool,

faith-ful – faith, hungr-y – hunger,

wondr-ous – wonder

Non-proprietive

Modal

hat-less – hat, faith-less – faith

knowledge-able – knowledge

A from V

Modal read-able – read, pay-able – pay,

talk-ative – talk

Proprietive? tire-some – tire

Non-proprietive? tire-less – tire

Result of change of state: fade-d – fade, brok-en – break

Derived Adverbs

Adv from A slow-ly – slow,

Adv from Num (ord) third-ly – third, doub(le)-ly – double

Adv from N money-wise – money

Derived Numerals

Distributive from Card

Ordinal from Cardinal six-th – six
Multiplicative from Card six-fold – six
Frequentative from Card twi-ce – two

Derived Verbs

V from V

Reversative un-zip – zip Repetitive re-read – read

Aspectual G. hüst-el-n – hust-en

V from N

"contextuals"

Predicate-Sbj Complement, 'be, act as, play the ...'

pioneer, mother, referee; ape, dog

dart, shadow

Pred-Obj Compl, 'make into, put in the form of, convert into; call'

lump, cash, malt

beggar, knight, fool

sir, madam [delocutive]

Pred-Adv Compl

ornative anger, label, butter (= 'provide with')

pepper, salt

instrumental brake, hammer, bomb, knife

mail, bike,

bag, bottle

'deprive of' skin, scale

Pred-Effected Obj, 'produce'

calve, bloom, blot, fume, fish, harvest

gesture, palaver, crusade

Causative length-en – length, beaut-ify – beauty,

diphthong-ize - diphthong

V from A

Change of state (Inchoative, Cessative) dark-en – dark, green – green,

wors-en - worse

ver-blass- – blass, ver-welk- – welk

[other way round in E: A fad-ed from V fade]

black-en – black, ampl-ify – ample,

legal-ize – legal, clear – clear, better – better

V from Preposition/Adverb

Causative

out, down, up

V from Interjection (delocutive)

boo, hail, tut-tut

End of interlude. Back to question of predictability of direction. First example.

POSITIVE—NEGATIVE: predictably, always NEG derived from POS, never the other way round

lexically distinguished, with NEG the <u>marked</u> member of such oppositions: true – false (not true), have – lack (not have), husband/wife – bachelor/spinster (man/woman not married), G mit – ohne (not with); possible (not necessary that not) – necessary (not possible that not) [as only recognised by modal logicians, never by an ordinary language]

syntactically distinguished: an overt negative marker (or several combined markers for emphasis: *G Ich bin noch nie auf keinen Berg nicht geklettert*, or strengthened by a non-negative: *not ... at all*, Fr *ne ... pas/point*) added to affirmative expression, rather than an affirmative marker added to negative expressions themselves unmarked.

(Counterexample: South Dravidian languages, where negation can be expressed through the omission of an affirmative verb marker (realis, indicative mood).)

derivationally distinguished: e.g., E un- (un-true), in-/in-/im-/il-/ir- (impossible), a(n)-/ab- (a-moral, ab-normal), non- (non-native), dis- (disloyal), -less (colour-less); also categories with an affinity to NEGATION, such as reversative/separative (to un-pack, to de-rail), defective (dys-function), or discontinuative (ex-husband). There is ever only derivational negation and no derivational affirmation (with basic lexical items negative and their derivatives positive) – though perhaps subtly subject to constraints, with unmarkedness and subjective evaluation the most common enabling factors: in pairs of opposites, only the unmarked members (*true* unmarked – *false* marked, hence *untrue* – **unfalse*) and the positively evaluated members (un-fair – *un-cruel) tend to permit derivational negation, with unmarkedness and positive evaluation often coinciding (as with un-true – *un-false).

Second example.

Numerals: CARDINAL always basic, ORDINAL, FRACTIONAL, MULTIPLICATIVE, DISTRIBUTIVE, ABSOLUTE-COUNTING ... always derived (when distinguished derivationally; otherwise syntactically more complex)

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e.g., Latin CARD sex '6', oct\bar{o} '8'; ORD (also FRACT, with optional pars 'part') sex-t-, oct-\bar{a}v-; MULT X-(u)plex; DISTRIB s\bar{e}-n-, oct\bar{o}-n-
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e.g. Bavarian CARD oans, zwoa, drei, ... COUNTING oans-e, zwoar-e, drei-e, ...

e.g. Maltese CARD ghaxar 'ten', ORD l-ghaxar, COUNTING ghaxra

So, optimism justified?

Yes, for these particular derivational categories.

What about others?

Third example.

Valency-increase/decrease: Which verb is basic and which derived?

(Nichols, Peterson, & Barnes 2004, Plank & Lahiri 2009; see also Nedjalkov 1969, Nedjalkov & Silnitsky 1973, Talmy 2000, Haspelmath 1993, 2008, Comrie 2006 ...)

Examples of relevant semantic-conceptual relationships:

INTRANS TRANS (PAT typically animate) stative, inchoative/cessative causative (rather: Valency $n \longrightarrow Valency n+1$, or Valency *n*–1 <— Valency n) laugh make laugh, amuse, strike as funny die kill sit seat, have sit, make sit hide, conceal, put into hiding hide, go into hiding feed, give food eat learn, know teach show see

be/become angry fear, be afraid

anger, make angry frighten, scare

INTRANS TRANS (PAT typically inanimate) stative, inchoative/cessative causative

(rather: Valency n —> Valency n+1, or Valency n-1 <— Valency n)

(come to) boil burn, catch fire break (come to be) open (come to be) dry be/become straight be in a hanging position turn over fall

(bring to) boil burn, set fire (cause to) break (cause to be) open make dry straighten, make straight hang (up) (cause to) turn over drop, let fall

Moderate (=typological) pessimism, guarded (=language-particular) optimism: Nichols, Peterson, & Barnes 2004, earlier Talmy 2000

Main conclusion:

Variation across languages, but uniformity for whole verbal vocabulary of any particular language.

To the extent that INTRANS and TRANS differ in formal complexity (including derivational basicness / derivedness) for pairs of opposites, instead of being lexicalised suppletively (e.g., English *die* – *kill*), some languages have a clear preference for having TRANS formally more complex than (derived from) INTRANS, in line with semantic complexity, while other languages have a clear preference for having INTRANS formally more complex than (derived from) TRANS, at odds with semantic complexity.

Even better, partial crosslinguistic uniformity: When PAT argument is typically HUMAN/ANIMATE, then TRANS-asbasic is preferred whatever the general preference of the language.

INTRANS-as-basic, where chosen, tends to be morphologically simpler.

Typological correlations (?):

- High morphological complexity favours TRANS-as-basic.
- Acc alignment is favoured by TRANS-as-basic.
- INTRANS-as-basic favours OV.

Unguarded optimism: Haspelmath 2008: §4.4, Comrie 2006

Main conclusion:

Universal, not just language-particular, predictability of transitivising or detransitivising direction of derivation, but not for verbal vocabulary in its entirety: <u>different semantic subsets</u> of verbs behave differently.

<u>Universally</u>, "automatic" verbs (e.g., 'freeze', 'dry', 'sink', 'go out', 'melt' – which <u>often</u> designate spontaneous events and do <u>not often</u> require the involvement of an agent) tend to be basically inchoative/intransitive, with causatives/transitive derived from them;

"costly" verbs (e.g., 'split', 'break', 'close', 'open', 'gather' – which do <u>not</u> <u>often</u> designate spontaneous events and <u>often</u> require the involvement of an agent) tend to be basically causative/transitive, with inchoatives/intransitives derived from them.

That's why:

<u>Iconicity</u>, with formal derivation corresponding to semantic-conceptual complexity, is irrelevant.

The real explanatory notions are <u>frequency</u> and <u>economy</u>. And the explantion goes as follows.

Universally, automatic-verb meanings tend to occur <u>more frequently</u> as inchoatives/intransitives than costly-verb meanings do; costly-verb meanings tend to occur <u>more frequently</u> as causatives/transitives than automatic-verb meanings do.

<u>Economy</u> dictates that the rarer elements – causatives/transitives with automatic verbs, inchoatives/intransitives (decausatives) with costly verbs – be formally non-basic, and the more frequent elements – inchoatives/intransitives with automatic verbs, causatives/transitives with costly verbs – basic.

As to frequency, Haspelmath 2008 refers to text counts for English which show different percentages of transitive occurrences for different verbs:

dry	61 %
freeze	62 %
melt	72 %
burn	76 %
open	80 %
break	90 %

So, in English, *break*, *open* etc. should be basically causative and derivedly inchoative, while *dry*, *freeze* etc. should be basically inchoative and derivedly causative [Should they?].

Are they? Not morphologically, nor periphrastically.

Would *melt* be expected to go with *freeze* or with *open* – or to do what it really does (but what all the others do, too): remain formally uncommitted between inchoative and causative?

If a language were to employ derivational morphology for valencyincrease or decrease, where would one expect the cut-off point between increase (causative) and decrease (decausative) morphology, with the frequencies forming pretty much a continuum (if the English counts above are anything to go by)?

And even the most automatic of the verbs counted (dry) is more frequently used transitively than intransitively! (There should be only detransitivisation, then.)

Empirical question:

How is Haspelmath 1993, 2008 to be reconciled with Nichols et al. 2004? Their factual claims are obviously contradictory.

Universalist optimism (grounded in Minimal Effort) or only language-particular/guardedly typological optimism?

Fourth example.

Which is (more) basic and which is derived: Noun or Adjective, in German? in English?

Länge	lang	length	long
Tiefe	tief	depth	deep
Höhe	hoch	height	high
Dicke	dick	thickness	thick
Schönheit	schön	beauty	beautiful

[&]quot;A curious iconicity paradox", according to Croft & Cruse 2004: 175:

• Abstract nouns are <u>conceptually</u> simpler than adjectives, designating the scale on which adjectives designate opposites; thus *length* 'extension from one end to the other (of the longest side of an object)', *long* 'noteworthy in terms of length'.

(With this meaning definition of theirs probably not quite doing justice to the markedness relationship between *long*, unmarked, and *short*, marked.)

- And yet, abstract nouns are formally more complex than corresponding adjectives, in English and other languages. [Is this really true?]
- Only *beauty* (basic [?]) *beautiful* (derived [?]) is well-behaved.

Paradox revealed as pseudo-paradox by Haspelmath 2008: § 4.3:

- <u>Morphological complexity</u> does not mirror <u>cognitive complexity</u> to begin with; it mirrors <u>rarity of use</u>; basicness mirrors frequency of use.
- And adjectives are significantly more frequent than (corresponding) abstract nouns; e.g., acording to text counts for English, *long* occurs 392 times and *length* 85 times per million words, etc., *beautiful* 87 times and *beauty* 44 times.
- *beauty beautiful* is an isolated exception, within English as well as crosslinguistically. [Is it?] [And what does it mean, on this line of form–frequency reasoning, to be an "exception"?]

[And, not wholly by the way, is frequency-of-use-of-particular-linguistic-forms, relative to others with related meaning but different grammar, an *explanans* or itself an *explanandum*?]

What follows is a survey of adjectives and corresponding abstract nouns – first in English and German, then also in a few other languages including Maltese – broken down in terms of semantic subdomains, to see which direction of derivation is the rule and which the exception, for <u>particular semantic subsets</u> of the vocabulary <u>within</u> each language and <u>across</u> genealogically, areally, and culturally related and unrelated languages.

As to the first language pair, for almost a millennium now, English has had considerably more Romance in its lexicon and derivational morphology than German has. Including a straight Romance language in the comparison will therefore be instructive: Romance might be markedly different from Germanic in basicness preferences, and owing to its Romance lexical admixture, English might have ended up somewhat mixed up. But then, it will also be instructive to compare languages of wholly different families, neighbourhoods, and worldviews, so as to see whether basicness preferences indeed are a parameter of typological variation, at least for some semantic subclasses of property concepts.

The German-English comparison is based on basic vocabulary collections for language learners (like *Grund- und Aufbauwortschatz Englisch* of the Ernst Klett Verlag, Stuttgart), thematic dictionaries (like Longman's Lexicon of Contemporary English and Roget's Thesaurus), and the usual bilingual and monolingual dictionaries, as well as the lexical intuitions of several native consultants.

The <u>semantic subclasses</u> which are assumed here (to some extent following typological precedent), and particular allocations of property concepts, are not entirely unproblematic. Disagreements here, however, are unlikely to invalidate the major conclusions to be drawn.

Explanation of the colour code:

green means an adjective is basic; red means a noun is basic, blue is used for a corresponding verb which is more basic than either; and black means being morphologically derived of a corresponding green/red/blue lexeme.

domain: Human Propensities

Noun	Adjective	Noun	Adjective
Tugend	tugendhaft	virtue	virtuous
Würde	würdig	dignity	dignified
Wert	wert	worth	worth
		worthiness	worthy
(taugen) Tüchtigkeit	tüchtig	staunchness	staunch
Güte/Gutheit	gut	goodness	good
Güte (freuen)	gütig		
Freundlichkeit	freundlich	kindness	kind

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Heiligkeit	heilig	holiness	holy
Adel	edel	nobility	noble
Ehre	ehrlich, ehrenhaft aufrichtig	honesty	honest
Ehre	ehrenhaft, ehrbar	honour	honourable
(wissen) Gewissen	gewissenhaft	conscience	conscientious
Treue	treu gewissenhaft	<mark>(faith)</mark> faithfulness	faithful
Aufrichtigkeit	aufrichtig	sincerity	sincere

Frei(zügig)keit frei freeness free

Offenherzigkeit offenherzig

Freimut freimütig frankness frank

Bescheidenheit bescheiden humbleness humble

Demut demütig humility

Geselligkeit gesellig sociableness sociable

Mut mutig braveness brave

Tapferkeit tapfer courage courageous

(Schrecken)

Unerschrockenheit unerschrocken fearlessness fearless

Kühnheit kühn boldness bold Keckheit keck daringness daring

Dreistigkeit Frechheit	dreist frech	audacity impudence <mark>cheek</mark>	audacious impudent cheeky
(entschließen) Entschlossenhe	eit entschlossen	(determine) determination	determined
Leidenschaft	leidenschaftlich	passion	passionate
Ernst	ernsthaft	seriousness	serious
((ver)mögen) Macht	mächtig	power	powerful
(stehen) Standhaftigkeit	standhaft	firmness steadiness	firm steady
Strenge	streng	severity	severe

(freuen, Freund) (friend)

Freundlichkeit freundlich friendliness friendly

(Herz)

Herzlichkeit herzlich cordiality cordial

Barmherzigkeit barmherzig mercy merciful

Gnade gnädig

((mit)leiden)

Mitleid mitleidig compassion compassionate

(richten?)

Gerechtigkeit gerecht justice just fairness fair

(Ehre erbieten) (respect)

Ehrerbietung	ehrerbietig	respect	respectful
Bescheidenheit	bescheiden	modesty	modest
Takt [no N?] (fühlen) Feinfühligkeit	taktvoll heikel feinfühlig	tact delicateness (sense) sensitivity	tactful delicate sensitive
Zartheit Empfindlichke	zart it empfindlich	tenderness	tender
Zärtlichkeit	zärtlich	affection fondness	affectionate fond
(vorsehen) Vorsicht	vorsichtig	care discretion	careful discreet

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Hut behutsam	caution	cautious

cautiousness

care careful

Besonnenheit besonnen

Sanftheit sanft gentleness gentle

Milde mild

Frömmigkeit fromm piety pious

(geben, ziehen)

Freigebigkeit freigebig generosity generous Großzügigkeit großzügig liberality liberal

(danken) (thank)

Dankbarkeit dankbar thankfulness thankful gratitude grateful

Vernunft	vernünftig	reason	reasonable
Fähigkeit	fähig	ability	able
Weisheit Klugheit	weise klug	wisdom	wise
Umsicht	umsichtig	prudence	prudent
Witz	witzig	wit	witty
(wenden) Gewandtheit	gewandt	smartness	smart
(verstehen) Verstand	verständig		
Geschick	geschickt	cleverness skill	clever skilful

Aktivität	aktiv	activity	active
Energie Tatkraft Forschheit	energisch tatkräftig forsch	energy	energetic
	munter		lively
(taugen) Tüchtigkeit	tüchtig	efficiency	efficient staunch
	praktisch	practice	practical
(fahren) Erfahrung	erfahren	experience	experienced

(entschließen) (resolve)

Entschlossenheit entschlossen resolution resolute

Zähigkeit zäh toughness tough

Hartnäckigkeit hartnäckig tenacity tenacious

(dulden)

Duldsamkeit duldsam tolerance tolerant

Neugier neugierig curiosity curious

(merken) (attend)

Aufmerksamkeit aufmerksam attention attentive

(Geist)

Begeisterung begeistert enthusiasm enthusiastic

Genauigkeit genau exactness exact

(sehen) Zuversicht	zuversichtlich	confidence	confident
Glück	glücklich	happiness luck fortune	happy lucky fortunate
Frohsinn	froh	gladness	glad
Heiterkeit Freude	heiter fröhlich	(cheer) cheerfulness	cheerful
		joy	joyous
		jollity	joyful jolly

<mark>Lust</mark> Vergnügtheit	lustig vergnügt	gaiety merriness	gay merry
(zucken)		glee	gleeful
Entzücken	entzückt entzückend	delight	delighted delightful
(<mark>Spaß)</mark> Spassigkeit Drolligkeit	spassig drollig	(fun) funniness	funny
Zufriedenheit	zufrieden	content contentedness	content
(messen)			
Maß	mäßig maßvoll gemäßigt	moderation	moderate

Geduld	geduldig	patience	patient
(dauern) Ausdauer	ausdauernd	(persevere) perseverance	perseverant
Ruhe	ruhig	calmness	calm
Fleiss	fleissig	industriousnes industry	s industrious
Eifer	eifrig	eagerness	eager
Bereitschaft	bereit	readiness	ready
(<mark>Wille, wollen</mark>) Willigkeit	willig	(will, will) willingness	willing
Geschäftigkeit	geschäftig	busyness	busy

Laster	lasterhaft	vice	vicious
Bösartigkeit	bösartig	11	1
Bosheit	boshaft böse	malice evilness	malicious evil
Garstigkeit	garstig	nastiness	nasty
Falschheit Unaufrichtigke	falsch eit unaufrichtig	falseness	false
Schuld	schuldig	guilt	guilty
Grausamkeit	grausam	cruelty	cruel
Gemeinheit	gemein	meanness	mean

(schämen)

Unverschämtheit unverschämt		impudence	impudent
Eigensinn	eigensinnig	stubbornness	stubborn
Stolz	stolz	pride	proud
Hochmut	hochmütig	ĥaughtiness	haughty
(anmaßen) Anmaßung	anmaßend	(pretend) pretentiousness	s pretentious
	selbstgefällig		smug
Grobheit Derbheit	grob derb ungeschliffen ungehobelt	coarseness	coarse sturdy
	brüsk barsch		brusque gruff

Rohheit roh roughness rough

Rauheit rauh

Ungeschick ungeschickt awkwardness awkward

Unbeholfenheit unbeholfen

(Hof)

Unhöflichkeit unhöflich politeness polite rudeness rude

(schätzen)

Geringschätzigkeit geringschätzig disdain disdainful

(gelten)

Gleichgültigkeit gleichgültig indifference indifferent

(coward)

Feigheit feig cowardice cowardly

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Furcht furchtsam timidity timid afraid

(eng) (fear)

Angst ängstlich fear fearful anxiety anxious

Sorge besorgt

Schüchternheit schüchtern shyness shy

Scheu scheu

Gier gierig greed greedy

Geiz geizig thrift thrifty

(sparen)

Sparsamkeit sparsam

Ehrgeiz ehrgeizig ambition ambitious

Selbstsucht	selbstsüchtig	selfishness	selfish
Anmaßung	anmaßend	(presume) presumptuousi	ness presumptuous
Eitelkeit	eitel	vanity	vain
Neid	neidisch	(envy) envy	envious
Eifersucht	eifersüchtig	jealousy	jealous
Argwohn	argwöhnisch	(suspect) suspiciousness	suspicious
(trauen) Misstrauen	misstrauisch	(trust) distrust	distrustful
Wut	wütend	fury	furious

Zorn	zornig	anger	angry
Schande	schändlich	shame	ashamed shameful
Dummheit	dumm	stupidity	stupid
<mark>(Tor)</mark> Torheit Albernheit	töricht albern	(fool) foolishness silliness	foolish silly
Einfalt	einfältig	simplicity	simple
(verrücken) Verrücktheit Tollheit Wahnsinn	verrückt toll wahnsinnig	madness	mad

Geilheit	geil	lecherousness	lecherous horny
Muße Faulheit Trägheit	müßig faul träge	idleness laziness	idle lazy
Müdigkeit	müde matt		tired weak
Leichtsinn	leichtsinnig unachtsam	carelessness	careless
Sorglosigkeit	sorglos		
(trauern) Trauer Betrübnis Gram	traurig betrübt gram	sadness sorrow	sad sorry

Düsterkeit Trübsinn	düster trübsinnig	gloom	gloomy
(verzweifeln) Verzweiflung	verzweifelt	(despair) despair desperation	desperate

Interim conclusion: Looks pretty messy (i.e., colourful).

No overwhelming preference in either English or German to either derive Adjectives from Nouns or Nouns from Adjectives:

ca. 60% N-from-A and ca. 40% A-from-N in German,

ca. 75% N-from-A and ca. 25% A-from-N in English

Also, quite a number of cases where a Verb is more basic than either.

Only few instances where the direction of derivation is indeterminate (worth – worth, Wert – wert; pride – proud, Stolz – stolz). A root underspecified for this word-class distinction as the basic lexical representation here?

Overall, preferably N-from-A in both languages, but it still seems pretty random which direction obtains for any given pair of opposites, with about half as many disagreements as there are agreements between translation-equivalents of the two languages.

The semantic-conceptual difference between adjectivally and nominally expressed concepts – A: property concept, N: abstract quality – isn't such a big deal here. So, on semantic-conceptual grounds alone, one wouldn't expect one or the other direction to be clearly favoured. Essentially, the difference is one of word-class conceptualisation; and for property concepts one might expect Adjective to be the most appropriate word class (if A is distinguished from N and V in the first place), with Noun thus a derived conceptualisation.

Notice that, here and in subsequent sections of this survey, in many cases where a noun is basic the derived adjective can in turn be back-derived into a noun, with the semantic difference between the original basic noun and the twice-derived ultimate noun an elusive one. Examples:

Tugend	tugendhaft	virtue	virtuous
Tugendhaftigkeit	tugendhaft	virtuousness	virtuous
<mark>Gewissen</mark>	gewissenhaft	conscience	conscientious conscientious
Gewissenhaftigkeit	gewissenhaft	conscientiousness	
<mark>Ernst</mark> Ernsthaftigkeit	ernsthaft ernsthaft	seriousness	serious
Tapferkeit	tapfer	courage courageousness	courageous courageous

Adjectives twice-derived from basic adjectives, via a noun, are rare and would seem to involve lexical splits between the once-derived and then-basic noun:

Güte 'good value' gut Güte 'kindness' gütig All A–N pairs so far were to do with (what has been called) Human Propensities. What about other semantic classes of property concepts?

domain: Subjective Evaluation (aesthetic, moral, intellectual, practical)

Noun	Adjective	Noun	Adjective
Güte	gut	goodness	good
	lieb teuer	dearness	dear
Feinheit	fein	fineness	fine
Schönheit	schön	beauty	beautiful
		prettiness	pretty
(Hübschheit?)	hübsch	handsomeness	handsome
(anziehen) Anziehung	anziehend	(attract) attractiveness	attractive

Anmut	anmutig	grace	graceful
Nettigkeit Reiz	nett reizend hold	niceness charm	nice charming
		(love) loveliness	lovely
(gefallen) Gefallen	gefällig	(please) pleasantness pleasure	pleasant
Annehmlichke	it angenehm	•	
[Chic]	schick	chic style	chic stylish

excellence

(auszeichnen)

Ausgezeichnetheit ausgezeichnet

(vorziehen)

Vorzüglichkeit vorzüglich

Wunder wunderbar wonder wonderful

glorreich glory glorious

Pracht prächtig gorgeousness gorgeous

Kostbarkeit kostbar preciousness precious

Großartigkeit großartig greatness great

splendor splendid remarkableness remarkable

excellent

perfection perfect

Bequemlichkeit bequem		comfort convenience	comfortable convenient
Gewicht, Wichtigkeit Bedeutung	schwerwiegend	graveness	grave
	wichtig bedeutend	import(ance)	important
Besonderheit	besonders	specialness	special
Einfachheit	einfach	plainness simplicity	plain simple
			real
			right
		sureness	sure

Schlechtigkeit (Hass)	schlecht arg	badness	bad
Hässlichkeit	hässlich	ugliness	ugly
	schäbig		shabby
	öde		dull
Sauberkeit Reinlichkeit	sauber rein	cleanness	clean
Reinheit	rein	purity	pure
Schmutz Dreck Unflat	schmutzig dreckig unflätig	(dirt) dirtiness filth foulness	dirty filthy foul

[hoher Preis]	teuer kostspielig	expense	expensive
	1 0		dear
	billig		cheap
Wahrheit	wahr echt	truth	true genuine
Falschheit	falsch	falseness	false wrong
Klarheit	klar	clearness	clear

possibility	possible

necessity necessary

likelihood likely

Ruhm berühmt fame famous

terror terrible horror horrible

Same conclusion:

preferably N-from-A, but still pretty colourful, messy;

quite a number of disagreements between German and English; which suggests lexeme-by-lexeme determination of direction of derivation, for each language, for this subdomain, too.

Occasionally a Verb as more basic than either N or A.

No indeterminate cases.

(Notice: beauty – beautiful is not an "isolated exception".)

domain: Physical Properties (essence rather than accidence, of people and things)

Noun	Adjective	Noun	Adjective
Gänze	ganz	wholeness	whole
Wohlsein	wohl	wellness	well
Gesundheit	gesund	health	healthy hale
		soundness	sound
Krankheit (Seuche) Unwohlsein	krank siech unwohl	illness sickness unwellness	ill sick unwell

Wahnsinn	irr wahnsinnig verrückt	sanity madness	sane insane mad
Stärke Kraft	stark kräftig	strength force	strong forceful
Schwäche	schwach	weakness	weak faint
	spröde		brittle
(Gebrechen) Gebrechlichkei	t gebrechlich	frailty	frail
Blindheit	blind	blindness	blind
Taubheit	taub	deafness	deaf

Stummheit	stumm	muteness	mute dumb
Heiserkeit	heiser	hoarseness	hoarse
Lahmheit	lahm	lameness	lame
	verkrüppelt		crippled
	wund weh		sore
Schwindel	schwindelig	dizziness	dizzy
Schläfrigkeit	schläfrig	drowsiness	drowsy
Nacktheit Blöße	nackt bloß	nakedness bareness	naked bare

	kahl	baldness	bald
	zart		delicate
	dicht		dense
	zahm		tame
	wild		wild
Armut	arm	poorness poverty	poor
Elend Not	elend	misery	miserable
Reichtum	reich	richness wealth	rich wealthy

Wohlstand	wohlhabend		prosperous affluent
Einsamkeit	allein einsam	loneliness	(a)lone lonely
Tod	tot	death	dead
Lebendigkeit Leben	lebendig	(live) liveliness	alive
Hunger	hungrig satt	hunger	hungry full
Durst	durstig	thirst	thirsty

Leichtigkeit	leicht	<mark>ease</mark> lightness	easy light
	schwer	(<mark>weight</mark>) heaviness	heavy
	locker lose		loose
	gerade ungerade		even odd
	gleich ähnlich		equal similar
	genau		exact precise

Conclusion for this (semantically somewhat heterogeneous) lexical field:

green clearly predominates (well, there is *hunger* – *hungr-y*, *thirst* – *thirst-y*); i.e., nouns tend to be derived from adjectives, in both languages.

Hardly Verbs as bases of N or A.

No indeterminacies of direction.

domain: Size and Dimensions (extension and orientation in space)

Noun	Adjective	Noun	Adjective
Größe	groß	bigness	big large tall
Kleinheit	klein		small little
			long short
	breit weit	breadth width	broad wide
	eng schmal		narrow

	dünn schlank mager schmächtig		thin slim slender lanky
Beleibtheit	vollschlank beleibt	plumpness corpulence	plump stout corpulent
	dick fett		fat
Umfang	umfangreich	volume bulk	voluminous bulky
Raum	geräumig	space ampleness	spacious ample
		depth	deep

		height	high
	tief		low
	nieder, niedrig seicht		shallow
	flach		flat
	rund		round
	gerade		straight
Quadrat Viereck	quadratisch viereckig	square	square
	eben platt		plain
	schief	(lean)	leaning

	slope	sloping
krumm	crook curve	crooked curved
spitz		pointed acute
leer hohl		empty hollow
voll		full
steil schroff		steep
prall		buxom
weit		far

Conclusion for this lexical field:

green clearly predominates;

i.e., nouns (which are easy to fill in when a slot is left blank) – with a few conspicuous exceptions – are derived from adjectives, in both languages.

No verbs as bases.

Only a few indeterminacies (square – square).

Notice that when nouns are exceptionally basic, a yet more complex noun can usually be derived from the derived adjective:

Raum geräumig space spacious Geräumigkeit geräumig spaciousness spacious

bulkbulkybulkinessbulky

domain: Time: Age

Noun	Adjective	Noun	Adjective
Alter	alt	age, oldness	old
Jugend	jung	youth	young
Neuheit	neu	newness	new
Modernität	modern	modernity	modern
		recency	recent
Frische	frisch	freshness	fresh
Reife	reif	ripeness	ripe mature
	gar		done

	welk	(wither)	withered
Frühe Spätheit	früh spät	earliness lateness	early late
(dauern) Dauer	dauerhaft	(to last) (to (en)dure)	lasting durable
Ewigkeit	ewig	eternity	eternal [?]
Dringlichkeit	dringlich	urgency	urgent

Conclusion for this lexical field:

(almost) all green, both German and English; i.e., nouns are (almost) always derived from adjectives.

A few verbal bases.

No indeterminacies.

domain: Time: Speed

Noun	Adjective	Noun	Adjective
Schnelligkeit Langsamkeit	schnell langsam	fastness slowness	fast slow
Geschwindigk	eit geschwind	speed swiftness quickness velocity	speedy swift quick
	flink	y i i i i i	nimble
	jäh		sudden abrupt

Conclusion here too:

(almost) all green, both German and English; i.e., nouns are (almost) always derived from adjectives.

domain: Sensory Perception: Colour, Smell, Taste, Touch, Hearing

Noun	Adjective	Noun	Adjective
Farbe Buntheit	farbig bunt	colour	colourful coloured
Schwärze	schwarz	blackness	black blue brown green grey
		orange?	orange pink red white yellow

blond Klarheit klar clarity clarity Dunkelheit dunkel darkness gloom gloom Düsternis düster Schatten schattig shadow shade sh Blässe blass fahl matt	Helligkeit	hell		fair light
Klarheit klar clarity clarity Dunkelheit dunkel darkness darkness gloom gloom gloom Schatten schattig shadow shade sh Blässe blass fahl matt		greii		bright
Dunkelheit dunkel darkness darkness gloom gloom gloom Schatten schattig shadow shade shade shade matt		blond		blond(e)
Finsternis finster gloom gloom Düsternis düster Schatten schattig shadow shade sh Blässe blass fahl matt m du	Klarheit	klar	clarity	clear
Blässe blass fahl matt m di	Finsternis	finster		dark gloomy
fahl matt m	Schatten	schattig		shadowy shady
dι	Blässe			pale
		matt		matt dull
		trüb		3

Nebel	neblig	fog mist	foggy misty
Dunst	dunstig	haze	hazy
(riechen) Geruch	(riechend)	(to smell) smell	smelly
(duften) Duft	duftig, duftend	fragrance odour scent	fragrant odorous scented
Parfüm Aroma	parfümiert aromatisch	perfume aroma	perfumed aromatic
(stinken) Gestank	stinkend	(to stink) stink stench	stinking

Muff Mief	muffig	(must	musty)
Moder	modrig	mustiness	musty
(beissen)	beissend	acridity	acrid
(schmecken) Geschmack	schmackhaft	(to taste) taste flavour	tasty flavoured
Pikantheit <mark>Würze</mark> Würzigkeit	pikant würzig würzig	savour spice spiciness	savoury spicy spicy
	fad		stale bland insipid flat

Bitterkeit	bitter herb	bitterness	bitter
	süß		sweet
	sauer		sour acid
		(<mark>salt</mark>) saltiness	salty
Kälte	kalt	cold coldness	cold
	kühl	Coluitess	cool
	frisch		fresh

	lau(warm)		luke(warm) tepid
Wärme Hitze	warm heiss mild lind	warmth heat	warm hot mild
Schwüle	schwül	sultriness	sultry muggy
(frieren) Frost	frostig	<mark>chill</mark> chilliness	chilly chilly

Lautheit Lärm	laut lärmig	loudness noise	loud noisy
(ruhen) Ruhe	ruhig	quiet quietness silence	quiet silent
Stille	still	stillness calm calmness	still calm
	dumpf		dull hollow
Nässe	nass feucht	wetness moisture moistness	wet moist
		dampness	damp
	klamm		numb

Tau	tauig	dew	dewy
			fresh
Trockenheit Dürre	trocken dürr	dryness drought	dry
Härte	hart fest firm scharf stumpf weich glatt	hardness	hard firm sharp blunt soft smooth
			raw
			faint

Conclusion for this lexical field (a sort of waste-paper basket: Physical Conditions and Size & Dimensions are also percepts, typically visual):

overwhelmingly green, for both languages;

i.e., nouns are almost always derived from adjectives – with a few conspicuous exceptions, including the semantically circumscribed set of most/all smell terms. (Likewise for taste terms, <code>salz-ig/salt-y</code> are derived; but then the quality nouns, <code>Salzig-keit/salti-ness</code>, are in turn derived from the adjectives.)

And note again the derivability, in two steps of nouns from adjectives from exceptionally-basic nouns:

chill	chilly
chilliness	chilly

Geschmack schmackhaft taste tasty Schmackhaftigkeit schmackhaft tastiness tasty

Adding a few further languages, including Maltese

[All about Maltese in a separate file; here follow summaries]

Human propensities

English	Italian	Maltese	Spanish	Basque
goodness	bontà buono	tjubija tajjeb	bondad bondadoso/ bueno	ontasun on
honour	onore	ġieħ	Honor	ohore
honourable	onorato		honorable	ohorezko
honesty	onestà	onestà	honestidad	onestasun
honest	onesto	onest	honesto	onest
severity	severità	ħruxija	severidad	zorrotztasun
severe	severo	aħrax	severo	zorrotz
guilt guilty	colpa colpevole	ħtija ħati	culpa/culpab ilidad culpable	erru errudun

Human Properties



	English	Italian	Maltese	Spanish	Basque
N→A	28%	20.33%	31%	32.59%	26.85%
A→N	65%	56.02%	47%	62.22%	53.02%
Undefined	7%	22.03%	22%	5.19%	20.13%

Subjective evaluation



English	Italian	Maltese	Spanish	Basque
dearness	caro	għoli	lo caro caro	garestitasun garesti
preciousness precious	preziosità prezioso	prezzjożità prezzjuż	precisodad precioso	edertasun eder
glory glorious	gloria glorioso	glorja glorjuż	gloria glorioso	loria loriatsu
expense expensive	costo costoso	spiża	costo costoso	kostu garesti
remarkableness remarkable	ragguardevolezza raguardevole	eċċezzjonalità eċċezzjonali	extraordinariez extraordinario	apartekotasun aparteko

Subjective evaluation



	English	Italian	Maltese	Spanish	Basque
N→A	17%	20.54	25%	20.37%	20.37%
A→N	74%	57.63%	53%	75.93%	74.07%
Undefined	9%	22.03%	22%	3.70%	5.56%

Physical condition



English	Italian	Maltese	Spanish	Basque
Illness	infermità	mard	enfermedad	gaixo
	infermo	marid	enfermo	gaixotasun
madness	mattia	ģenn	locura	erotasun
mad	matto	miġnun	loco	ero
dizziness	vertigine	sturdament	mareo	zorabio
dizzy	vertigino	sturdut	mareado	zorabiatu
death	morte	mewt	muerto	heriotza
dead	morto	mejjet	muerta	hilik
hunger	fame	ġuħ	hambre	gose
hungry	afammato	mġewwaħ	Hambriento	goseti

Physical condition



	English	Italian	Maltese	Spanish	Basque
N→A	11%	16.67%	40%	10.87%	23.53%
A→N	89%	68.52%	46%	86.67%	64.71%
Undefined	0%	14.81%	14%	2.17%	7.84%

Size and Dimension

English	Italian	Maltese	Spanish	Basque
largeness large	grosso	kobor kbir	gran tamaño grande	 sekulako
slenderness slender	sottilezza sottile	żnell	esbeltez esbelto	liraintasun Iirain
volumen voluminous	volume voluminoso	volum voluminuż	volumen voluminoso	tamaina tamaina handiko
square square	quadrato quadrato quadro	kwadru kwadru	lo cuadrado cuadrado	karratu karratu
hollowness hollow	cavo/cavità cavo	ħefa moħfi	cavidad hueco	huts hustasun

Size and Dimension



	English	Italian	Maltese	Spanish	Basque
N→A	0%	13.33%	31%	2.7%	7.89%
A→N	95%	66.67%	13%	70.27%	57.89%
Undefined	5%	20%	59%	27.03%	36.84%

Time: Age



English	Italian	Maltese	Spanish	Basque
oldness	vecchiccia	xjuħija	vejez	zahartzaro
old	vecchio	хiħ	viejo	zahar
youth	giovantè	Żgħożija	juventud	gaztetasun
young	giovanne	żagħżugħ	joven	gazte
recency				
recent	recente	riċenti	reciente	berri
earliness				
early	presto	kmieni	temprano	goiz
maturity	maturità	maturità	maduridad	heldutasun
mature	maturo	matur	maduro	heldu

Time: Age



	English	Italian	Maltese	Spanish	Basque
N→A	0%	6.25	0%	18.19%	9.09%
A→N	100%	62.5%	75%	81.81%	63.64%
Undefined	0%	31.25%	25%	0%	27.27%

Time: Speed



English	Italian	Maltese	Spanish	Basque
fastness	celerità	għaġla	rapidez	azkartasun
fast	celere	għaġġieli	rápido	azkar
slowness	lentezza	kajman	lentitud	moteltasun
slow	lento		lento	motel
speed	rapidità	veloċità	velocidad	abiadura
speedy	rapido	veloċi	veloz	bizkor
swiftness	agilità	prontezza	rapidez	azkartasun
	agile	pront	rápido	azkar
quickness	sveltezza	ħeffa	rapidez	azkartasun
quick	svelto	ħafif	rápido	azkar

Time: Speed



	English	Italian	Maltese	Spanish	Basque
N→A	11.11%	0%	25%	16.67%	0%
A→N	44.44%	88.89%	37.5%	83.33%	60%
Undefined	44.44%	11.12%	37.5%	0%	40%

Sensory perception



English	Italian	Maltese	Spanish	Basque	
blackness black	nerezza	swidija	negrura	belztasun	
	nero	iswed	negro	beltz	
mist	nebbia	ċpar	neblina	(behe)-laino	
misty	nebbioso	ċajpri	neblinoso	lainotsu	
bitterness	amarezza	mrar	amargor	mingostasun	
bitter	amaro	morr	amargo	mingots	
staleness		ħżunija	lo rancio		
stale	stantio	ħażin	rancio	zahar	
coldness cold	freddo	kesħa	frío	hotz	
	freddo	kiesaħ	frío	hotz	

Sensory Perception



	English	Italian	Maltese	Spanish	Basque
N→A	3%	30.36%	21%	20%	20%
A→N	91%	35.71%	36%	50%	53.33%
Undefined	6%	33.93%	43%	30%	26.67%

How Maltese differs

- Overall predominance of A (and also V)-to-N derivation, but less predominant than in English and German; least so in the domain of Physical Properties (possibly not delimited well enough to give sensible results) and Size/Dimension.
- Many more instances of indeterminate directionality overall, best interpreted as mutually independent nominal and adjectival specifications of roots lexically unspecified for word class; most commonly in the domains of Size/Dimension and Sensory Perception, where this is the predominant pattern, whereas in the domain Time: Age A-to-N derivation prevails, with lexemes specified for word class A.

Typological evaluation

In essence, the results above are compatible with typological systematisations of property concepts. The idea of these systems is to account for crosslinguistic preferences in word classes – nouns and verbs being available universally and a separate class of adjective only language-particularly – through which to express particular subsets of property concepts.

The classic system is Dixon's adjective hierarchy (1977), which orders subsets of property concepts (from left to right) so as to correspond to the order in which they will be expressed in a distinct word class of adjective which does not cover all property concepts:

relatively most
type of property concept to be expressed through an adjective

AGE - COLOUR - DIMENSION - SPEED
VALUE PHYSICAL PROPERTY
HUMAN.PROPENSITY

That is, if a language only has a single adjective (expressing other property concepts through nouns or verbs), it will be one for AGE; when adjectival inventories grow, they will include property concepts for COLOUR and/or VALUE; etc.

Our results are in line with this particular hierarchy (ignoring certain difficulties of assigning property concepts to the right classes) insofar as the domains for which adjectives have been found to be most consistently basic in English and German, and nouns derived from them, are on the adjectivy end on Dixon's hierarchy. For VALUE, however, our English and German results don't square with Dixon's ordering of this domain, here supposedly sharing with COLOUR a high adjectivity rank.

On Stassen's scale of verbiness and nouniness (1997), property concepts are arranged as follows, with those in the middle tending towards adjectival expression if a word class of adjective, distinct from those of verb and noun, is available in a language:

verby (least time-stable) (most time-stable)

HUM.PROPENSITY – PHYSICAL PROP. – DIMENSION – VALUE – MATERIAL

COLOUR AGE GENDER

FORM

Our results for German and English have adjectives as basic and nouns as derived for the domains of COLOUR, DIMENSION, AGE – as one would expect from Stassen's system. In Maltese, on the other hand, the in-between-the-extremes domains of DIMENSION and SENSE PERCEPTION stand out as those domains where indeterminate directionality (= roots unspecified for word class) is strongly favoured.

Also as expected, HUMAN PROPENSITY, at an extreme position on Stassen's scale, is not a domain where adjectives are basic with equal consistency; however, though at the verby end of Stassen's scale, they are far less frequently derived from verbs than from nouns.

Finally, it is hard to see how frequency of use could serve as an <u>ultimate</u> intellectually satisfying *explanandum* of such patterns – partly random, partly systematic – of differential asymmetries which equally manifest themselves in derivational directions and in word-class preferences of different subsets of property concepts.

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