

The question:

**Can any generalisations be made about the direction of derivation?**

concerning

- (particular senses of) (particular subsets of) particular lexemes,
- particular (subsets of) derivational categories,
- particular lexeme (=word) classes;
- for particular languages,
- across some languages (delimitable as subsets on independent grounds),
- across all languages.

To exemplify:

In Italian, the noun *uccis-ore* ‘killer’ is derived from the verb *uccid-* ‘to kill’.

Is this an observation about a particular couple of lexemes of a particular language, one happening to be a verb and the other a noun, a verb of action and an agentive noun, to be precise? Or are these particular facts the way they are because this is what is dictated by general patterns?

That is, are the answers to questions like the following in the affirmative?

- When AGENTIVE NOUNS and VERBS OF ACTION are derivationally related in Italian, are agentive nouns always derived from verbs, rather than vice versa?
- When NOUNS and VERBS are derivationally related in Italian, whichever the derivational category, are nouns always derived from verbs, and never verbs from nouns?
- Are the directions of derivation, for particular or for all derivational categories, the same in some or all other languages, too, rather than derivation in ITALIAN being unique in point of direction?

## Prelim: **Derivation is inherently directional**

What is involved in derivation are lexemes.

A LEXEME is a lexical / stored unit – a unit which grammar (phonology, syntax, lexeme formation) operates on – with:

- (underspecified) sense(s); [polysemy]
- (underspecified) form(s); [allomorphy]
- categorial (under-)specification(s),  
which, together with form(s) and meaning(s),  
are to enable the rules / constraints of grammar  
to use and spell out all wordforms realising the lexeme:
  - lexeme (=word) class and perhaps subclass,\*
  - inflectional idiosyncrasies,
  - phonological idiosyncrasies,

\* There appear to be languages where (all?) lexical units  
– the units that grammar operates on – are pre-categorial (“roots”);  
directionality here is a matter of categorially specifying roots,  
not of altering the word class of lexical units.

“Derivation” means: There is a Lexeme A (the BASE) which is basic (or motivating), and a lexeme A' (the DERIVATIVE) which is derived from (or motivated by) A.

Which is perhaps to be distinguished from “derivedness”, an asymmetric relation holding when both derivationally related lexemes are lexicalised, rather than only one being stored in memory and the other being productively derived from it on the spur of the moment.

“Derivation” and “derivedness” must be recognised as being inherently asymmetric, however this one-way dependency of one lexeme on another is implemented in a descriptive framework and however it will turn out to be dealt with in the human brain as lexemes are stored and accessed, produced and processed.

The relation between derivationally related lexemes remains fundamentally asymmetric even when it cannot be unambiguously determined as being either one way or the other: in such instances the direction goes both ways and derivation/derivedness is “mutual”.

(For example, when we seek to determine whether the English nouns *travel* and *journey*, designating acts, are derived from the corresponding verbs *travel* and *journey*, designating actions, or vice versa, and neither option can be safely discarded, why not accept that derivation/derivedness is mutual.)

There remains a further question, namely whether derivation perforce involves all properties of lexemes – their sense(s), form(s), and categorial specification(s) – or may individually only target some.

It would seem reasonable, for instance, to allow derivation to only target individual senses, rather than all senses, in the case of polysemous lexical items (Plank 2008).

**First: How is the asymmetry between basic and derived manifested?**  
(and how can direction of derivation/ derivedness be established accordingly in linguistic analysis?)

There are several independent considerations, and the more they are in agreement, the clearer the direction of derivation/ derivedness.

- FORMAL COMPLEXITY:  
(tends to be) greater of derivatives than of bases
  - e.g., Engl A's *colourful, beautiful* are more complex than N's *colour, beauty*, insofar as they have a suffix added  
(which is probably at odds with other considerations, to be dealt with presently, in the case of *beautiful*, designating a property, and *beauty*, designating an abstract quality, with quality conceptually more complex than property and the corresponding word more frequent);
  - e.g., German N *Studi*, student jargon for 'student', is more complex than N *Student*, the regular term, insofar as

- (i) it has additional segmental substance, contributed by suffix *-i*;
- (ii) it has undergone segmental reduction, viz. to a maximal syllable (formally, the asymmetry consists in /ʃtud/ being predictable from /ʃtu.dent/, but not the other way round);

- e.g., Engl V *house* /haʊz/ is more complex than N *house* /haʊs/ insofar as it has undergone voicing of its final fricative (assuming that the final fricative is lexically voiceless);

- e.g., German weak/transitive verbs (such as *fäll-en* 'to fell', 3SG PRES *fäll-t*, PAST *fäll-t*; *erschreck-en* 'to frighten', 3SG PRES *erschreck-t*, PAST *erschreck-t*;) are formally more complex than corresponding strong/intransitive verbs (*fall-en* 'to fall', 3SG PRES *fäll-t*, PAST *fiel*-; *erschreck-en* 'to be frightened', 3SG PRES *erschrick-t*, PAST *erschrak*-) insofar as they undergo a dissociation of specification of (lexically underspecified) stem vowels from morphological categories, and instead have their stem vowels specified by phonological default (Plank & Lahiri 2009);



- e.g., Engl N's *bin, buy* and V's *bin, buy* are formally equally complex (conversion), despite clear conceptual-semantic asymmetries; and ditto for N's *travel, journey* and V's *travel, journey*, which seem conceptually-semantically more symmetric.
- e.g., Engl transitive/causative V *kill* and intransitive V *die*, Engl basic A *bad* and comparative A *worse* are formally equally complex (suppletion), despite conceptual-semantic asymmetry.

- MORPHOLOGICAL REGULARITY:  
derivatives are morphologically regular, subject to general rules (defaults); bases are possibly irregular, subject to specific rules or constraints
  - e.g., Engl V *buy*, irregular PAST *bought* – N *buy*, regular PL *buy-s* [z];
  - e.g., Engl N *foot*, irregular PL *feet* – V *foot*, regular PAST *foot-ed*;
  - e.g., German intransitive V *fallen*, *erschrecken* PAST *fiel-*, *erschrak-* strong (Ablaut) – transitive / causative V *fällen*, *erschrecken* regular PAST *fäll-t-*, *erschreck-t-* weak (uniform dental suffix);
  - e.g., *Stuhl* M ‘chair’, *Bank* F ‘bench’, *Bett* N ‘bed’, with genders assigned lexically (and the genders here are different for these designations of furniture, notwithstanding certain regularities for the assignment of gender elsewhere) – DIMIN *Stühl-chen*, *Bänk-chen*, *Bett-chen*, all N, with uniform gender due to DIMIN.

- SEMANTIC-CONCEPTUAL COMPLEXITY:

(tends to be) greater of derivatives than of bases

e.g., ‘to be alive’ is a less complex concept than ‘not to be alive’ (its negative opposite; but then, ‘to be dead’ is less complex than ‘not to be dead’, which raises the further question of which of the two semantically equivalent conceptualisations of the opposition DEAD – ALIVE is more basic than the other), which in turn is a less complex concept than ‘to undergo a change of state from being alive to not being alive’ (the corresponding cessative / inchoative, involving two states rather than only one), which in turn is a less complex concept than ‘to cause a change of someone’s state from being alive to not being alive’ (the corresponding causative, involving an additional relation and argument).

As it happens, in English, none of the most salient lexemes for these concepts of different complexity is morphologically derived from any of the others: *alive* – *dead* – *die* – *kill*; *dead* from *die* is not synchronically transparent; at any rate, semantic-conceptual complexity is not contradicted by morphological complexity.

In German, the causative verb is morphologically derived, not from the inchoative verb, but from the stative adjective: *lebend(ig)* – *tot* – *sterben* – *töt-en*, and a formal-register cessative verb is derived from a state verb: *leben* – *ab-leben*, which sort of makes sense in terms of semantic-conceptual complexity; otherwise again no contradictions between morphological and semantic-conceptual complexity.

But what about, e.g., Engl A's *loose*, *wide* and V's *loos-en*, *wid-en* with (end-)state (A) morphologically less complex than change-of-state (V), in comparison with A's *brok-en*, *a-wash* and V's *break*, *wash*, where (end-)state (A) is morphologically more complex than (even caused) change-of-state (V)?

It is especially such contradictions between morphological (hence tangible) and semantic-conceptual complexity which raise the question of how semantic-conceptual complexity is to be established in the first place, even if it is admitted to not completely determine the direction of morphological derivation / derivedness.

Well, in semantic-conceptual terms in their own right, obviously. But then, the right decisions are not always self-evident.

What is a more complex conceptual operation, to assert or to negate? What is more complex to conceive of, an end-state of a change-of-state or the change-of-state itself?

A change-of-state occurring automatically or brought about by an external cause?

Are the answers dependent on linguistic structures of the languages concerned (in particular, on generalisations about what is expressed through morphologically and syntactically basic expressions)?

Or are they subject to cultural differences between speech communities?

How can such questions be approached empirically?

If speakers of English, when asked about the meanings of lexemes, define them one in terms of the other, but not vice versa, then this would seem to justify the assumption of asymmetric semantic-conceptual relations between them.

Thus, if the meaning of N *buy* is defined by linguistically naive speakers of English as ‘a thing which you buy’ or ‘an act of buying’, while they would not define the meaning of V *buy* as ‘to do what is required to perform a buy / purchase or to acquire a buy / a possession’, then that N would seem to be semantically-conceptually more complex than the corresponding V for these speakers.

And similarly if the meaning of *kill* is defined as ‘to cause to die’, and that of *die* as ‘to cease to be alive’, and that of *dead* as ‘not alive’.

If in the case of N–V pairs such as *travel* and *journey*, their N and V meanings turn out to be interdefined with equal ease (and perhaps with equally little gain: *to travel/journey* means ‘to make travels/

journeys', *travel/journey* means 'acts of travelling / journeying'), this would confirm that the direction of derivation, on semantic-conceptual grounds, indeed is two-ways.

- SEMANTIC WORD-CLASS PROTOTYPICALITY:  
(tends to be) greater of bases than of derivatives

To exemplify what is potentially a subtle and elusive asymmetry, and one of limited scope for determining directions of derivations:

The meaning of Engl V *cheat* ‘to behave in a dishonest way in order to get an advantage’ conforms to what words of this word class prototypically mean in this language with a three-way distinction of major lexical word classes (V, A, N):

- verbs are words prototypically designating actions (activities, achievements, accomplishments), perceptions, sensations;
- nouns are words prototypically designating persons, things, places;
- adjectives are words prototypically designating properties and states.

The derivationally related Engl N *cheat* with the sense ‘person who cheats’ equally shows the prototypical semantics of its word class, N, designating persons, and on these grounds cannot be recognised as



derived from the corresponding V. (On the grounds of definability, however, it can: *a cheat* is ‘a person who cheats’, while it would be odd to define the meaning of *to cheat* as ‘to behave in the manner of cheats’.)

The Engl N *cheat* in the sense ‘an act of cheating or deception’, on the other hand, is seen to be derived on these grounds: though designating a spatio-temporal particular such as an act or event, it does not designate something concrete in the manner prototypically associated with the word class N in English.

In a similar vein, comparing Engl V–N conversion pairs such as *bin* – *bin* and *dump* – *dump*, designating actions and places respectively, one could note that the V *bin* is less prototypically verbal than V *dump* insofar as its meaning, while an action, has a nominal component, namely that specifying the place where something is to be moved (see next point); hence, the asymmetry between V *bin* and N *bin* would, on these grounds, be less marked than that between wholly verbal V *dump* and wholly nominal N *dump*.

- SEMANTIC SPECIFICITY AND SYNTACTIC LIMITATIONS OF DERIVATIVES ACCRUING FROM BASES, but not the other way round

e.g., in English V *bin* ‘to get rid of something undesirable by putting it in a bin’ is derived from N *bin*, whereas N *dump* ‘a place where something undesirable is deposited and thereby gotten rid of’ is derived from V *dump*.

The semantic relationship between the two N–V pairs is in fact parallel: N ‘a place (receptacle) where something undesirable (rubbish) is gotten rid of’ – V ‘to get rid of something undesirable by putting it in a designated place’ (unclear which is conceptually basic and derived).

But when V is derived, it is more specific insofar as the place of disposal – an oblique / adverbial object if expressed overtly – must literally be what the basic N designates, a bin (*\*They binned their litter in a pond*); when V is basic, there is no such limitation accruing from the corresponding derived N (*They dumped their rubbish in a pond*).

- generally, INHERITANCE:  
derivatives may inherit (something phonological, morphological, syntactic, or semantic) from their bases, but not the other way round
- generally, CONSTRAINTS:  
derivatives may be subject to constraints specifically on inputs or outputs of derivation, which would not be applicable to bases (with bases not outputs nor necessarily inputs of derivation)\*

\* An example where it appears to be the other way round (Don 2005):  
In Dutch, there is a constraint on basic verbs:  
their stem must not end in a monophthongal full vowel;  
zero-derived denominal verbs, however, are not subject to this constraint:  
*koffie-en* 'to drink coffee', *kano-en* 'to canoe', *taxi-en* 'to go by taxi'.

- FREQUENCY: higher for bases than for their derivatives; or rather the other way round, looked at diachronically: what occurs more frequently is likelier to be (have become) a basic expression than what occurs less frequently.

What then remains to be accounted for is why something is more frequent than something else – for reasons of perceptual or cultural salience, cognitive simplicity, ...

e.g., Which is more frequent, N *beauty* (more complex conceptually [?] and less complex formally), *length* (more complex conceptually [?] and also more complex formally) or A *beautiful* (less complex conceptually [?] and more complex formally), *long* (less complex conceptually [?] and also less complex formally)?

N *journey* is presumably more frequent than V *journey*, while V *travel* is probably more frequent than N *travel(s)*, tipping the scale in favour of recognising one-way directionality even in such cases where one might otherwise accept mutual derivation (N → V with *journey*, V →

N with *travel*). But the differences may be small, which raises the question of where to draw the line when frequency asymmetries acquire structural relevance and motivate directions of derivation.

- HISTORICAL PRIORITY: bases earlier than their derivatives

Does this matter, given that learners / speakers will lack synchronic clues to relative chronologies (unless they have a chance to naively practise internal reconstruction)?

And there are backformations: e.g., Engl. V *televise* backformed from N *television*, Lat N *pugn-a-* 'fight' backformed from V *pugn-a-re* 'to fight' (originally derived from *pugn-u-s* 'fist').

Are they synchronically also instances of formally-simple derived from formally-complex? Probably not forever. But at what point is the direction of derivation reversed to formally-complex from formally-simple?

With direction of derivation / derivedness seen to be multi-factorial, with the several factors not always neatly correlated, back to our question:

## **How is the direction of derivation determined? Is it predictable?**

Given a semantic opposition encoded through derivational categories, is the direction of derivation predictable

- for all particular lexeme pairs participating in this semantic opposition?
- for each particular language (i.e., with languages randomly differing from one another)?
- for all languages alike, unconditionally or perhaps depending on other typological parameters?

Optimistic answer: Yes!

Two grounds for optimism:

A. Iconicity rules ok.

Since it is [obviously] semantic-conceptual complexity which is the determinant [Is it?], directions are predictable and will be universally the same for any given asymmetric (non-equipollent) categorial opposition.

B. Minimal Effort rules ok.

For any categorial opposition, one or the other opposite will occur more frequently depending on the lexeme, and this will be expressed in the simplest way possible (as a basic lexeme), with the less frequent meaning expressed in a more complex way (as a derivative) for this pair of opposites.



Pessimistic answer: No.

It's not predictable, neither universally nor language-particularly, but has to be determined language by language, derivational category by derivational category, lexeme by lexeme even what is basic and what derived.

The reason is that what needs to be derived depends on what is available as basic, i.e., on what happens to be lexicalised as part of the basic vocabulary of a language.

And basic vocabulary is random.

[Is it? Isn't cognitive-cultural salience a reasonably accurate predictor of basicness?]

Probably the right answer, as usual: It depends,

namely on the categories concerned and on the lexical-semantic fields where such derivation occurs.

For some categorial oppositions [but why these and not others?] and for some lexical-semantic fields [again: why these and not others?], the direction of derivation is predictable [but on which grounds: iconicity or minimal effort?] – within a language and probably also universally.

Interlude (needs elaboration): What are possible derivational categories?

**Functions of derivation (generally speaking: expressive enrichment, vis-à-vis existing basic vocabulary, subject to the demands of syntax) – and what this may mean for direction of derivation**

- Through derivation expressions are created for those relational-syntactic slots for which basic lexemes are missing.
- Through derivation expressions are created which can be used in syntactic slots different from those of the corresponding basic lexemes – in particular:
  - (i) process / state and (ii) result nominalisations of verbs, and vice versa, “contextual” verbalisations of nouns;
  - abstract-quality nominalisations of adjectives / adverbs, and vice versa, property adjectivalisations of nouns.

- Through derivation expressions are created which are in cognitively / culturally salient paradigmatic relations to each other, when basic lexemes expressing concepts thus related (“suppletion”) are missing:
  - pre-state, change-of-state, post-state;
  - opposites (negation, reversative, converse, ...);
  - individual, collective;
  - affective / evaluative modification (diminutive, augmentative);
  - ...

**relational semantic-syntactic frames** (cf. “Begriffsschema” in Plank 1980)  
(with basic lexemes underlined)

**arguments****Sbj/PAT****±ANIMATE**

stand-ee

sleep-er

island-er

person

London-er

Turk-

biolog-ist

Philosoph (???)

Wind

wind**V<sub>intrans/inact</sub>****BE**standsleep

live-in

bleib-

be (from)

be (from)

be (in)

be (in)

weh-blow**circumstances****Adv/INS****Adv/PLACE**

sleeping-pill

stand

sleep-er

island

Bleib-e

LondonTurk-eybiology

Philosoph-ie

**Sbj/AGT**  
**+ANIM**

act-or  
sail-or  
whistl-er  
Pfarr-er

Flieg-e

**V<sub>intrans/act</sub>**  
**ACT**

act  
sail  
whistle  
(wirk-)

flieg-

**Adv/INS**

sail  
whistle

**Adv/PLACE**

Pfarr-e / -ei

| <b>Sbj/AGT</b><br><b>+ANIM</b> | <b>dObj/effPAT</b><br><b>–ANIM</b>            | <b>V<sub>trans</sub></b><br><b>MAKE/TELL</b> | <b>Adv/INS</b><br><b>–ANIM</b> | <b>Adv/PLACE</b><br><b>–ANIM</b> |
|--------------------------------|---|--|--------------------------------|----------------------------------|
| <b>Dicht-er</b><br><u>poet</u> | <b>Ge-dicht / Dicht-ung</b><br><u>poet-ry</u> | <u>dicht-</u><br><u>compose / write</u>      |                                |                                  |
| Lügn-er                        | Lüg-e   | <u>lüg-</u>                                  |                                |                                  |
| Säng-er                        | Ge-sang / <u>Lied</u>                         | <u>sing-</u>                                 |                                |                                  |
| Bäck-er                        | Ge-bäck                                       | <u>back-</u>                                 |                                | Bäcker-ei                        |
| <u>Konditor</u>                | <u>Kuchen</u>                                 | back-  |                                |                                  |
| Käs-er                         | <u>Käse</u>                                   | käs-   |                                | Käs-er-ei                        |
| person                         | thing   | säg-   | <u>Säge</u>                    | Säge-werk                        |
| Gärtn-er                       | <u>Garten</u>                                 | gärtn-er-                                    |                                | Gärtn-er-ei                      |
| Först-er                       | <u>Forst</u>                                  | forst-                                       |                                | Först-er-ei                      |
| build-er                       | build-ing                                     | <u>build</u>                                 |                                |                                  |
| cook                           | cook-ie / cake                                | <u>cook</u>                                  | cook-er                        |                                  |
| person                         | <u>question</u>                               | <u>ask</u>                                   | questionn-aire                 |                                  |
|                                |   | answer                                       |                                |                                  |

**Sbj/AGT**    **dObj/affPAT**  
**+ANIM**    **±ANIM**

employ-er    employ-ee  
 person  
 person    nail  
 person    food  
 person    rubbish

person    person/thing  
Detekt-iv    Geheim-nis  
 Wäsch-er    Wäsch-e

eat-er    food  
 drink-er    drink  
 Trink-er    Ge-tränk

**V<sub>trans</sub>**  
**DO**

employ  
 hammer  
 nail  
 salt  
 dispose-of  
dump  
 bin

entdeck-  
wasch-

eat  
drink  
trink-

**Adv/INS**  
**-ANIM**

hammer

salt

bind-

**Adv/PLACE**  
**-ANIM**

rubbish-place  
 dump  
bin  
 Bind-e  
 Detekt(iv)-ei  
 Wäsch-erei



**Sbj/AGT    dObj/affPAT**  
**±ANIM    ±ANIM**

**V<sub>trans</sub>**  
**HAVE**

**Adv/INS**  
**–ANIM**

**Adv/PLACE**  
**–ANIM**

own-er  
possess-or    possess-ion

own  
possess

| <b>Sbj/AGT</b><br><b>+ANIM</b> | <b>dObj/PAT</b><br><b>–ANIM</b> | <b>iObj/REC</b><br><b>+ANIM</b> | <b>V<sub>ditrans</sub></b><br><b>GIVE/TAKE</b> | <b>Adv/INS</b><br><b>–ANIM</b> | <b>Adv/PLACE</b><br><b>–ANIM</b> |
|--------------------------------|---------------------------------|---------------------------------|--|--------------------------------|----------------------------------|
| giv-er                         | gif-t                           | giv-ee                          | <u>give</u>                                    |                                |                                  |
| don-or                         | don-ation                       |                                 | <u>donate</u>                                  |                                |                                  |
| award-er                       | award                           | award-ee                        | <u>award</u>                                   |                                |                                  |
|                                | <u>prize</u>                    |                                 | <u>give</u>                                    |                                |                                  |
| <u>thief</u>                   | goods                           | victim                          | <u>steal</u>                                   |                                |                                  |
| robb-er                        |                                 | victim                          | <u>rob</u>                                     |                                |                                  |
| begg-ar                        |                                 |                                 | <u>beg</u>                                     |                                |                                  |
| teach-er                       | lesson                          | pupil                           | <u>teach</u>                                   |                                | school                           |
| Lehr-er                        | Lehr-e                          | Lehr-ling                       | <u>lehr</u>                                    |                                | Lehr-e                           |

## Derived Nouns

### **N from N**

Affective / Evaluational

Diminutive / Endearment

Augmentative / Pejorative

Hypocoristic

(hill-ock – hill), It. donn-ina – donna

(earth-ling – earth), It. donn-ona – donna

dogg-ie – dog, dadd-y – dad, Charl-ie –  
Charl(es), book-ie – book(-maker)

Quantificational

Collective

king-dom – king, neighbour-hood – neighbour  
brother-hood – brother,

professor-ate – professor

information – piece of information

Singulative

Person originating from place

island-er – island, London-er – London,

Japan-ese – Japan, Israel-i – Israel

Place inhabited by persons

Slovak-ia – Slovak, Turk-ey – Turk,

Den-mark – Dane, Fin-land – Finn,

Kazakh-stan – Kazakh

Person habitually doing something relating to thing

hatt-er – hat,  
theolog-ian – theology,  
trick-ster – trick

Animate being of opposite gender

poet-ess – poet, vix-en – fox, lion-ess – lion

Abstract / State

child-hood – child, infan(t)-cy – infant  
friend-ship – friend, relation-ship – relation,  
idio(t)-cy – idiot,  
slave-ry – slave,  
Calvin-ism – Calvin

## **N from V**

Player of semantic role

Agentive

employ-er – employ, sail-or – sail, li-ar – lie,  
cook – cook, cheat

Instrumental

cook-er – cook, whistle, rattle

Locative

sleep-er – sleep, two-seat-er, dump, bend

Temporal

spring, fall

|            |  |
|------------|--|
| Patientive | employ-ee – employ, stand-ee – stand,<br>found-ling – find, deposit, award   |
| Action     | arriv-al – arrive, educat-ion – educate,<br>acknowledge-ment – acknowledge,<br>behav-iour – behave, arrest<br>grin, limp |
| State      | consist-ence – consist, bankrupt-cy – bankrupt,<br>modern-ism – modern,<br>fear, desire                                  |
| ?          | smell, taste, feel   |
| Result     | build-ing – build,<br>propos-al – propose  |

**N from A**

|                      |  |
|----------------------|--|
| Abstract quality     | wid-th – wide, kind-ness – kind, real-ity – real,<br>modest-y – modest |
| Abstract    X        | false-hood – false, tru-th – true                                      |
| Person with property | young-ster – young   |

## Derived Adjectives

### **A from A**

Negative

un-wise – wise

### **A from N**

Comparative

child-ish – child, child-like – child,  
pictur-escape – picture

Manner

friend-ly – friend, book-ish – book,  
natur-al – nature, Luther-an – Luther,  
chaot-ic – chaos

Origin/Provenance  
Proprietary

Japan-ese – Japan, Turk-ish – Turk,  
talent-ed – talent, narrow-minded,  
gold-en – gold, wooll-en – wool,  
faith-ful – faith, hungr-y – hunger,  
wondr-ous – wonder

Non-proprietary  
Modal

hat-less – hat, faith-less – faith  
knowledge-able – knowledge

**A from V**

Modal

read-able – read, pay-able – pay,

talk-ative – talk

Proprietary?

tire-some – tire

Non-proprietary?

tire-less – tire

Result of change of state:

fade-d – fade, brok-en – break

**Derived Adverbs****Adv from A**

slow-ly – slow,

**Adv from Num (ord)**

third-ly – third, doub(le)-ly – double

**Adv from N**

money-wise – money

**Derived Numerals**

Ordinal from Cardinal

six-th – six

Multiplicative from Card

six-fold – six

Frequentative from Card

twi-ce – two

Distributive from Card

## Derived Verbs

### **V from V**

Reversative

un-zip – zip

Repetitive

re-read – read

Aspectual

G. hüst-el-n – hust-en

### **V from N**

“contextuals”

Predicate–Sbj Complement, ‘be, act as, play the ...’

pioneer, mother, referee; ape, dog

dart, shadow

Pred–Obj Compl, ‘make into, put in the form of, convert into; call’

lump, cash, malt

beggar, knight, fool

sir, madam [delocutive]

Pred-Adv Compl

ornative

anger, label, butter (= ‘provide with’)

pepper, salt

instrumental

brake, hammer, bomb, knife

mail, bike,



|                              |  |
|------------------------------|--|
|                              | bag, bottle  |
| ‘deprive of’                 | skin, scale  |
| Pred–Effected Obj, ‘produce’ |  |
|                              | calve, bloom, blot, fume, fish, harvest                              |
|                              | gesture, palaver, crusade  |
| Causative                    | length-en – length, beaut-ify – beauty,<br>diphthong-ize – diphthong |

**V from A**

|   |  |
|---|--|
| Change of state (Inchoative, Cessative) | dark-en – dark, green – green,<br>wors-en – worse  |
|   | ver-blass- – blass, ver-welk- – welk   |
|   | [other way round in E: A fad-ed from V fade]   |
| Causative                               | black-en – black, ampl-ify – ample,<br>legal-ize – legal, clear – clear, better – better |

**V from Preposition/Adverb**

out, down, up

**V from Interjection (delocutive)**

boo, hail, tut-tut

End of interlude. Back to question of predictability of direction.  
First example.

**POSITIVE–NEGATIVE: predictably, always NEG derived from POS,  
never the other way round**

lexically distinguished, with NEG the marked member of such oppositions:  
*true – false* (not true), *have – lack* (not have), *husband/wife – bachelor/spinster* (man / woman not married),  
*G mit – ohne* (not with);  
*possible* (not necessary that not) – *necessary* (not possible that not)  
[as only recognised by modal logicians, never by an ordinary language]

syntactically distinguished: an overt negative marker (or several combined markers for emphasis: *G Ich bin noch nie auf keinen Berg nicht geklettert*, or strengthened by a non-negative: *not ... at all*, *Fr ne ... pas/point*) added to affirmative expression, rather than an affirmative marker added to negative expressions themselves unmarked.

(Counterexample: South Dravidian languages, where negation can be expressed through the omission of an affirmative verb marker (realis, indicative mood).)

derivationally distinguished: e.g., E *un-* (*un-true*), *in-/in-/im-/il-/ir-* (*impossible*), *a(n)-/ab-* (*a-moral, ab-normal*), *non-* (*non-native*), *dis-* (*dis-loyal*), *-less* (*colour-less*); also categories with an affinity to NEGATION, such as reversative / separative (*to un-pack, to de-rail*), defective (*dys-function*), or discontinuative (*ex-husband*). There is ever only derivational negation and no derivational affirmation (with basic lexical items negative and their derivatives positive) – though perhaps subtly subject to constraints, with unmarkedness and subjective evaluation the most common enabling factors: in pairs of opposites, only the unmarked members (*true* unmarked – *false* marked, hence *untrue* – \**unfalse*) and the positively evaluated members (*un-fair* – \**un-cruel*) tend to permit derivational negation, with unmarkedness and positive evaluation often coinciding (as with *un-true* – \**un-false*).

Second example.

**Numerals: CARDINAL** always **basic**, **ORDINAL**, **FRACTIONAL**, **MULTIPLICATIVE**, **DISTRIBUTIVE**, **ABSOLUTE-COUNTING ...** always **derived** (when distinguished derivationally; otherwise syntactically more complex)

e.g., Latin

CARD *sex* '6', *octō* '8';

ORD (also FRACT, with optional *pars* 'part') *sex-t-*, *oct-āv-*;

MULT *X-(u)plex*;

DISTRIB *sē-n-*, *octō-n-*

e.g. Bavarian CARD *oans*, *zwoa*, *drei*, ...

COUNTING *oans-e*, *zwoar-e*, *drei-e*, ...

So, optimism justified?

Yes, for these particular derivational categories.

What about others?

Third example.

### **Valency-increase/decrease: Which verb is basic and which derived?**

(Nichols, Peterson, & Barnes 2004, Plank & Lahiri 2009; see also Nedjalkov 1969, Nedjalkov & Silnitsky 1973, Talmy 2000, Haspelmath 1993, 2008, Comrie 2006 ...)

Examples of relevant semantic-conceptual relationships:

#### **INTRANS**

**stative, inchoative/cessative**

(rather: **Valency  $n$**

**Valency  $n-1$**

#### **TRANS**

**causative**

—> **Valency  $n+1$ , or**

<— **Valency  $n$** )

(PAT typically animate)

laugh

die

sit

hide, go into hiding

eat

learn, know

see

make laugh, amuse, strike as funny

kill

seat, have sit, make sit

hide, conceal, put into hiding

feed, give food

teach

show

be / become angry  
fear, be afraid

anger, make angry  
frighten, scare

## INTRANS

**stative, inchoative/cessative**

(rather: Valency  $n$

Valency  $n-1$

(come to) boil

burn, catch fire

break

(come to be) open

(come to be) dry

be / become straight

be in a hanging position

turn over

fall

## TRANS

(PAT typically inanimate)

**causative**

—> Valency  $n+1$ , or

<— Valency  $n$ )

(bring to) boil

burn, set fire

(cause to) break

(cause to be) open

make dry

straighten, make straight

hang (up)

(cause to) turn over

drop, let fall

**Moderate (=typological) pessimism, guarded (=language-particular) optimism:** Nichols, Peterson, & Barnes 2004, earlier Talmy 2000

Main conclusion: To the extent that INTRANS and TRANS differ in formal complexity (including derivational basicness/derivedness) for pairs of opposites, instead of being lexicalised suppletively (e.g., English *die – kill*), some languages have a clear preference for having TRANS formally more complex than (derived from) INTRANS, in line with semantic complexity, while other languages have a clear preference for having INTRANS formally more complex than (derived from) TRANS, at odds with semantic complexity.

Semantic factor:

When PAT argument is typically HUMAN / ANIMATE, then TRANS-as-basic is preferred whatever the general preference of the language.

INTRANS-as-basic, where chosen, tends to be morphologically simpler.



Typological correlations (?):

- High morphological complexity favours TRANS-as-basic.
- Acc alignment is favoured by TRANS-as-basic.
- INTRANS-as-basic favours OV.

## **Unguarded optimism:** Haspelmath 2008: §4.4, Comrie 2006

Main conclusion:

Universally, “automatic” verbs (e.g., ‘freeze’, ‘dry’, ‘sink’, ‘go out’, ‘melt’ – which often designate spontaneous events and do not often require the involvement of an agent) tend to be basically inchoative/intransitive, with causatives/transitive derived from them;

“costly” verbs (e.g., ‘split’, ‘break’, ‘close’, ‘open’, ‘gather’ – which do not often designate spontaneous events and often require the involvement of an agent) tend to be basically causative/transitive, with inchoatives/intransitives derived from them.

That’s why:

Iconicity, with formal derivation corresponding to semantic-conceptual complexity, is irrelevant.

The real explanatory notions are frequency and economy.

And the explanation goes as follows.

Universally, automatic-verb meanings tend to occur more frequently as inchoatives / intransitives than costly-verb meanings do; costly-verb meanings tend to occur more frequently as causatives / transitives than automatic-verb meanings do.

Economy dictates that the rarer elements – causatives / transitives with automatic verbs, inchoatives / intransitives (decausatives) with costly verbs – be formally non-basic, and the more frequent elements – inchoatives / intransitives with automatic verbs, causatives / transitives with costly verbs – basic.

As to frequency, Haspelmath 2008 refers to text counts for English which show different percentages of transitive occurrences for different verbs:

|               |      |
|---------------|------|
| <i>dry</i>    | 61 % |
| <i>freeze</i> | 62 % |
| <i>melt</i>   | 72 % |
| <i>burn</i>   | 76 % |
| <i>open</i>   | 80 % |
| <i>break</i>  | 90 % |

So, in English, *break*, *open* etc. should be basically causative and derivedly inchoative, while *dry*, *freeze* etc. should be basically inchoative and derivedly causative [Should they?].

Are they? Not morphologically, nor periphrastically.

Would *melt* be expected to go with *freeze* or with *open* – or to do what it really does (but what all the others do, too): remain formally uncommitted between inchoative and causative?

If a language were to employ derivational morphology for valency-increase or decrease, where would one expect the cut-off point between increase (causative) and decrease (decausative) morphology, with the frequencies forming pretty much a continuum (if the English counts above are anything to go by)?

And even the most automatic of the verbs counted (*dry*) is more frequently used transitively than intransitively! (There should be only detransitivisation, then.)

Empirical question:

How is Haspelmath 1993, 2008 to be reconciled with Nichols et al. 2004?  
Their factual claims are obviously contradictory.

Universalist optimism (grounded in Minimal Effort) or only language-particular / guardedly typological optimism?

Fourth example.

Which is (more) basic and which is derived: **Noun** or **Adjective**,  
in German? in English?

|                  |              |
|------------------|--------------|
| <i>Länge</i>     | <i>lang</i>  |
| <i>Tiefe</i>     | <i>tief</i>  |
| <i>Höhe</i>      | <i>hoch</i>  |
| <i>Dicke</i>     | <i>dick</i>  |
| <i>Schönheit</i> | <i>schön</i> |

|                  |                  |
|------------------|------------------|
| <i>length</i>    | <i>long</i>      |
| <i>depth</i>     | <i>deep</i>      |
| <i>height</i>    | <i>high</i>      |
| <i>thickness</i> | <i>thick</i>     |
| <i>beauty</i>    | <i>beautiful</i> |

“A curious iconicity paradox”, according to Croft & Cruse 2004: 175:

- Abstract nouns are conceptually simpler than adjectives, designating the scale on which adjectives designate opposites; thus *length* ‘extension from one end to the other (of the longest side of an object)’, *long* ‘noteworthy in terms of length’. (With this meaning definition of theirs probably not quite doing justice to the markedness relationship between *long*, unmarked, and *short*, marked.)

- And yet, abstract nouns are formally more complex than corresponding adjectives, in English and other languages. [Is this really true?]
- Only *beauty* (basic [?]) – *beautiful* (derived [?]) is well-behaved.

Paradox revealed as pseudo-paradox by Haspelmath 2008: § 4.3:

- Morphological complexity does not mirror cognitive complexity to begin with; it mirrors rarity of use. Like basicness mirrors frequency of use.
- And adjectives are significantly more frequent than (corresponding) abstract nouns; e.g., according to text counts for English, *long* occurs 392 times and *length* 85 times per million words, etc., *beautiful* 87 times and *beauty* 44 times.
- *beauty* – *beautiful* is an isolated exception, within English as well as crosslinguistically. [Is it?] [And what does it mean, on this line of form–frequency reasoning, to be an “exception”?]

[And, not wholly by the way, is frequency-of-use-of-particular-linguistic-forms, relative to others with related meaning but different grammar, an *explanans* or itself an *explanandum*?]



What follows is a survey of adjectives and corresponding abstract nouns in English and German, broken down in terms of semantic subdomains, to see which direction of derivation is the rule and which the exception, within each language and across these two genealogically, areally, and culturally closely related languages.

Well, for almost a millennium now, English has had considerably more Romance in its lexicon and derivational morphology than German has. Including a straight Romance language in the comparison would therefore be instructive: Romance might be markedly different from Germanic in basicness preferences, and owing to its Romance lexical admixture, English might have ended up somewhat mixed up. But then, it would also be desirable to compare languages of wholly different families, neighbourhoods, and worldviews, so as to see whether basicness preferences indeed are a parameter of typological variation, at least for some semantic subclasses of property concepts.

My German-English comparison is based on basic vocabulary collections for language learners (like *Grund- und Aufbauwortschatz Englisch* of the Ernst Klett Verlag, Stuttgart), thematic dictionaries (like Longman's *Lexicon of Contemporary English* and *Roget's Thesaurus*), and the usual bilingual and monolingual dictionaries, as well as my own lexical intuitions.

The semantic subclasses which are assumed here (to some extent following typological precedent), and particular allocations of property concepts, are not entirely unproblematic. Disagreements here, however, are unlikely to invalidate the major conclusions to be drawn.

Explanation of the colour code:

**green** means an adjective is basic; **red** means a noun is basic, **blue** is used for a corresponding verb which is more basic than either; and **black** means being morphologically derived of a corresponding **green/red/blue** lexeme.

**domain: Human Propensities****Noun****Adjective****Noun****Adjective****Tugend**

tugendhaft

**virtue**

virtuous

**Würde**

würdig

dignity

dignified

**Wert**

wert

**worth**

worth

worthiness

worthy

**(taugen)**

Tüchtigkeit

tüchtig

staunchness

staunch

Güte/Gutheit

gut

goodness

good

**Güte**

gütig

**(freuen)**

Freundlichkeit

freundlich

kindness

kind

|                      |                                  |                         |               |
|----------------------|----------------------------------|-------------------------|---------------|
| (Heil)<br>Heiligkeit | heilig                           | holiness                | holy          |
| Adel                 | edel                             | nobility                | noble         |
| Ehre                 | ehrlich, ehrenhaft<br>aufrichtig | honesty                 | honest        |
| Ehre                 | ehrenhaft,<br>ehrbar             | honour                  | honourable    |
| (wissen)<br>Gewissen | gewissenhaft                     | conscience              | conscientious |
| Treue                | treu<br>gewissenhaft             | (faith)<br>faithfulness | faithful      |
| Aufrichtigkeit       | aufrichtig                       | sincerity               | sincere       |

|                   |               |              |            |
|-------------------|---------------|--------------|------------|
| Frei(zügig)keit   | frei          | freeness     | free       |
| Offenherzigkeit   | offenherzig   |              |            |
| Freimut           | freimütig     | frankness    | frank      |
| Bescheidenheit    | bescheiden    | humbleness   | humble     |
| Demut             | demütig       | humility     |            |
| Geselligkeit      | gesellig      | sociableness | sociable   |
| Mut               | mutig         | braveness    | brave      |
| Tapferkeit        | tapfer        | courage      | courageous |
| (Schrecken)       |               |              |            |
| Unerschrockenheit | unerschrocken | fearlessness | fearless   |
| Kühnheit          | kühn          | boldness     | bold       |
| Keckheit          | keck          | daringness   | daring     |

Dreistigkeit **dreist**  
Frechheit **frech**

audacity **audacious**  
impudence **impudent**  
**cheek** cheeky

(entschließen)  
Entschlossenheit **entschlossen**

(determine)  
determination determined

**Leidenschaft** leidenschaftlich

**passion** passionate

**Ernst** ernsthaft

seriousness **serious**

((ver)mögen)  
**Macht** mächtig

**power** powerful

(stehen)  
Standhaftigkeit **standhaft**

firmness **firm**  
steadiness **steady**

Strenge **streng**

severity **severe**

(freuen, Freund)

Freundlichkeit freundlich

(friend)

friendliness friendly

(Herz)

Herzlichkeit herzlich

cordiality cordial

Barmherzigkeit barmherzig

mercy merciful

Gnade gnädig

((mit)leiden)

Mitleid mitleidig

compassion compassionate

(richten?)

Gerechtigkeit gerecht

justice just  
fairness fair

(Ehre erbieuten)

(respect)

Ehrerbietung    ehrerbietig

**respect**

respectful

Bescheidenheit **bescheiden**

modesty

**modest**

**Takt**                    taktvoll

[no N?]

**heikel**

**tact**

tactful

(fühlen)

delicateness

**delicate**

(sense)

Feinfühligkeit    **feinfühlig**

sensitivity

**sensitive**

Zartheit                **zart**

tenderness

**tender**

Empfindlichkeit **empfindlich**

Zärtlichkeit        **zärtlich**

**affection**

affectionate

fondness

**fond**

(vorsehen)

**Vorsicht**

vorsichtig

**care**

careful

discretion

**discreet**



(hüten)

Hut

behutsam

caution

cautious

cautiousness

care

careful

Besonnenheit

besonnen

Sanftheit

sanft

gentleness

gentle

Milde

mild

Frömmigkeit

fromm

piety

pious

(geben, ziehen)

Freigebigkeit

freigebig

generosity

generous

Großzügigkeit

großzügig

liberality

liberal

(danken)

Dankbarkeit

dankbar

(thank)

thankfulness

thankful

gratitude

grateful

|             |              |            |            |
|-------------|--------------|------------|------------|
| Vernunft    | vernünftig   | reason     | reasonable |
| Fähigkeit   | fähig        | ability    | able       |
| Weisheit    | weise        | wisdom     | wise       |
| Klugheit    | klug         |            |            |
| Umsicht     | umsichtig    | prudence   | prudent    |
| Witz        | witzig       | wit        | witty      |
| (wenden)    |              |            |            |
| Gewandtheit | gewandt      | smartness  | smart      |
| (verstehen) |              |            |            |
| Verstand    | verständlich |            |            |
| Geschick    | geschickt    | cleverness | clever     |
|             |              | skill      | skilful    |

|             |            |            |             |
|-------------|------------|------------|-------------|
| Aktivität   | aktiv      | activity   | active      |
| Energie     | energisch  | energy     | energetic   |
| Tatkraft    | tatkräftig |            |             |
| Forschheit  | forsch     |            |             |
|             | munter     |            | lively      |
| (taugen)    |            |            |             |
| Tüchtigkeit | tüchtig    | efficiency | efficient   |
|             |            |            | staunch     |
|             | praktisch  | practice   | practical   |
| (fahren)    |            |            |             |
| Erfahrung   | erfahren   | experience | experienced |

(entschließen)

Entschlossenheit **entschlossen**

Zähigkeit **zäh**

Hartnäckigkeit **hartnäckig**

(dulden)

Duldsamkeit **duldsam**

**Neugier** neugierig

(merken)

Aufmerksamkeit **aufmerksam**

(Geist)

Begeisterung begeistert

Genauigkeit **genau**

(resolve)

resolution

toughness

tenacity

tolerance

curiosity

(attend)

attention

enthusiasm

exactness

**resolute**

**tough**

**tenacious**

**tolerant**

**curious**

attentive

enthusiastic

**exact**

|                       |                    |                              |                             |
|-----------------------|--------------------|------------------------------|-----------------------------|
| (sehen)<br>Zuversicht | zuversichtlich     | confidence                   | confident                   |
| Glück                 | glücklich          | happiness<br>luck<br>fortune | happy<br>lucky<br>fortunate |
| Frohsinn              | froh               | gladness                     | glad                        |
| Heiterkeit<br>Freude  | heiter<br>fröhlich | (cheer)<br>cheerfulness      | cheerful                    |
|                       |                    | joy<br><br>jollity           | joyous<br>joyful<br>jolly   |

**Lust**  
Vergnügtheit    lustig  
                      **vergnügt**

gaiety  
merriness    **gay**  
                  **merry**

**(zucken)**  
Entzücken    entzückt  
                  entzückend

**glee**  
**gleeful**  
**delight**  
delighted  
delightful

**(Spaß)**  
Spassigkeit    spassig  
Drolligkeit    **drollig**

**(fun)**  
funniness    **funny**

Zufriedenheit    **zufrieden**

content  
contentedness    **content**

**(messen)**  
**Maß**  
mäßig  
maßvoll  
gemäßigt

moderation    **moderate**

|                               |            |                             |             |
|-------------------------------|------------|-----------------------------|-------------|
| Geduld                        | geduldig   | patience                    | patient     |
| (dauern)<br>Ausdauer          | ausdauernd | (persevere)<br>perseverance | perseverant |
| Ruhe                          | ruhig      | calmness                    | calm        |
| Fleiss                        | fleissig   | industriousness<br>industry | industrious |
| Eifer                         | eifrig     | eagerness                   | eager       |
| Bereitschaft                  | bereit     | readiness                   | ready       |
| (Wille, wollen)<br>Willigkeit | willig     | (will, will)<br>willingness | willing     |
| Geschäftigkeit                | geschäftig | busyness                    | busy        |

**Laster**                      lasterhaft

Bösartigkeit              böseartig

boshaft

Bosheit                    böse

Garstigkeit                garstig

Falschheit                falsch

Unaufrichtigkeit        unaufrichtig

**Schuld**                    schuldig

Grausamkeit              grausam

Gemeinheit                gemein

(schämen)

**vice**                        vicious

**malice**                    malicious

evilness                   evil

nastiness                   nasty

falseness                   false

**guilt**                        guilty

cruelty                      cruel

meanness                   mean



Unverschämtheit **unverschämt**

impudence **impudent**

**Eigensinn** eigensinnig

stubbornness **stubborn**

**Stolz** **stolz**  
**Hochmut** hochmütig

**pride** proud  
haughtiness **haughty**

(anmaßen)  
Anmaßung anmaßend

(pretend)  
pretentiousness **pretentious**

**selbstgefällig**

**smug**

Grobheit **grob**  
Derbheit **derb**  
**ungeschliffen**  
**ungehobelt**

coarseness **coarse**  
**sturdy**

**brüsk**  
**barsch**

**brusque**  
**gruff**

Rohheit

roh

roughness

rough

Rauheit

rauh

Ungeschick

ungeschickt

awkwardness

awkward

Unbeholfenheit

unbeholfen

(Hof)

Unhöflichkeit

unhöflich

politeness

polite

rudeness

rude

(schätzen)

Geringschätzung

geringschätzig

disdain

disdainful

(gelten)

Gleichgültigkeit

gleichgültig

indifference

indifferent

Feigheit

feig

(coward)

cowardice

cowardly

(fürchten)

|  |                                   |                                  |                           |
|--|-----------------------------------|----------------------------------|---------------------------|
| <b>Furcht</b>                          | furchtsam                         | timidity                         | <b>timid</b><br>afraid    |
| <b>Angst</b>                           | (eng)<br>ängstlich                | (fear)<br><b>fear</b><br>anxiety | fearful<br><b>anxious</b> |
| <b>Sorge</b>                           | besorgt                           |                                  |                           |
| Schüchternheit<br>Scheu                | <b>schüchtern</b><br><b>scheu</b> | shyness                          | <b>shy</b>                |
| <b>Gier</b>                            | gierig                            | <b>greed</b>                     | greedy                    |
| <b>Geiz</b><br>(sparen)<br>Sparsamkeit | geizig<br><b>sparsam</b>          | <b>thrift</b>                    | thrifty                   |
| <b>Ehrgeiz</b>                         | ehrgeizig                         | <b>ambition</b>                  | ambitious                 |
| <b>Selbstsucht</b>                     | selbstsüchtig                     | selfishness                      | <b>selfish</b>            |

|            |              |           |                  |              |
|------------|--------------|-----------|------------------|--------------|
| Anmaßung   | anmaßend     | (presume) | presumptuousness | presumptuous |
| Eitelkeit  | eitel        | vanity    | vain             |              |
| Neid       | neidisch     | (envy)    | envy             | envious      |
| Eifersucht | eifersüchtig | jealousy  | jealous          |              |
| Argwohn    | argwöhnisch  | (suspect) | suspiciousness   | suspicious   |
| (trauen)   |              | (trust)   |                  |              |
| Misstrauen | misstrauisch | distrust  | distrustful      |              |
| Wut        | wütend       | fury      | furious          |              |
| Zorn       | zornig       | anger     | angry            |              |

|              |            |               |                     |
|--------------|------------|---------------|---------------------|
| Schande      | schändlich | shame         | ashamed<br>shameful |
| Dummheit     | dumm       | stupidity     | stupid              |
| (Tor)        |            | (fool)        |                     |
| Torheit      | töricht    | foolishness   | foolish             |
| Albernheit   | albern     | silliness     | silly               |
| Einfalt      | einfältig  | simplicity    | simple              |
| (verrücken)  |            |               |                     |
| Verrücktheit | verrückt   | madness       | mad                 |
| Tollheit     | toll       |               |                     |
| Wahnsinn     | wahnsinnig |               |                     |
| Geilheit     | geil       | lecherousness | lecherous           |

|               |              |              |          |
|---------------|--------------|--------------|----------|
|               |              |              | horny    |
| Muße          | müßig        | idleness     | idle     |
| Faulheit      | faul         | laziness     | lazy     |
| Trägheit      | träge        |              |          |
| Müdigkeit     | müde         |              | tired    |
|               | matt         |              | weak     |
| Leichtsinn    | leichtsinnig | carelessness | careless |
|               | unachtsam    |              |          |
| Sorglosigkeit | sorglos      |              |          |
| (trauern)     |              |              |          |
| Trauer        | traurig      | sadness      | sad      |
| Betrübnis     | betrübt      | sorrow       | sorry    |
| Gram          | gram         |              |          |

Düsterkeit      düster  
Trübsinn      trübsinnig

gloom      gloomy

(verzweifeln)  
Verzweiflung      verzweifelt

(despair)  
despair      desperate  
desperation

**Interim conclusion:** Looks pretty messy (i.e., colourful).

No overwhelming preference in either English or German to either derive Adjectives from Nouns or Nouns from Adjectives:

ca. 60% N-from-A and ca. 40% A-from-N in German,

ca. 75% N-from-A and ca. 25% A-from-N in English

Thus, preferably N-from-A in both languages, but it still seems pretty random which direction obtains for any given pair of opposites, with about half as many disagreements as there are agreements between translation-equivalents of the two languages.

The semantic-conceptual difference between adjectivally and nominally expressed concepts – A: property concept, N: abstract quality – isn't such a big deal here. So, on semantic-conceptual grounds alone, one wouldn't expect one or the other direction to be clearly favoured. Essentially, the difference is one of word-class conceptualisation; and for property concepts one might expect Adjective to be the most appropriate word class (if A is distinguished from N and V in the first place), with Noun thus a derived conceptualisation.



Notice that, here and in subsequent sections of this survey, in many cases where a noun is basic the derived adjective can in turn be back-derived into a noun, with the semantic difference between the original basic noun and the twice-derived ultimate noun an elusive one. Examples:

|                  |                   |
|------------------|-------------------|
| <b>Tugend</b>    | tugendhaft        |
| Tugendhaftigkeit | <b>tugendhaft</b> |

|                    |                     |
|--------------------|---------------------|
| <b>Gewissen</b>    | gewissenhaft        |
| Gewissenhaftigkeit | <b>gewissenhaft</b> |

|                 |                  |
|-----------------|------------------|
| <b>Ernst</b>    | ernsthaft        |
| Ernsthaftigkeit | <b>ernsthaft</b> |

|            |               |
|------------|---------------|
| Tapferkeit | <b>tapfer</b> |
|------------|---------------|

|               |                 |
|---------------|-----------------|
| <b>virtue</b> | virtuous        |
| virtuousness  | <b>virtuous</b> |

|                   |                      |
|-------------------|----------------------|
| <b>conscience</b> | conscientious        |
| conscientiousness | <b>conscientious</b> |

|             |                |
|-------------|----------------|
| seriousness | <b>serious</b> |
|-------------|----------------|

|                |                   |
|----------------|-------------------|
| <b>courage</b> | courageous        |
| courageousness | <b>courageous</b> |

Adjectives twice-derived from basic adjectives, via a noun, are rare and would seem to involve lexical splits between the once-derived and then-basic noun:

Güte 'good value'

Güte 'kindness'

gut

gütig

All A–N pairs so far were to do with (what has been called) Human Propensities. What about other semantic classes of property concepts?

**domain: Subjective Evaluation (aesthetic, moral, intellectual, practical)****Noun****Adjective****Noun****Adjective**

---

Güte

gut

goodness

good

lieb  
teuer

dearness

dear

Feinheit

fein

fineness

fine

Schönheit

schön

beauty

beautiful

prettiness

pretty

(Hübschheit?)

hübsch

handsomeness

handsome

(anziehen)

(attract)

Anziehung

anziehend

attractiveness

attractive

Anmut anmutig

Nettigkeit

Reiz

nett

reizend

hold

grace

graceful

niceness

charm

nice

charming

(love)

loveliness

lovely

(gefallen)

Gefallen

gefällig

(please)

pleasantness

pleasure

pleasant

Annehmlichkeit angenehm

[Chic]

schick

chic

style

chic

stylish

(auszeichnen)

Ausgezeichnetheit ausgezeichnet

(vorziehen)

Vorzüglichkeit **vorzüglich**

excellence

**excellent**

**Wunder**

wunderbar

**wonder**

wonderful

**Pracht**

glorreich  
prächtig

**glory**

glorious

gorgeousness

**gorgeous**

Kostbarkeit

**kostbar**

preciousness

**precious**

Großartigkeit

**großartig**

greatness

**great**

splendor

splendid

remarkableness **remarkable**

perfection

**perfect**

Bequemlichkeit **bequem****comfort**  
conveniencecomfortable  
**convenient**Gewicht,  
Wichtigkeit  
Bedeutung      schwerwiegend  
**wichtig**  
bedeutend

graveness

**grave**

import(ance)

**important**Besonderheit      **besonders**

specialness

**special**Einfachheit      **einfach**plainness  
simplicity**plain**  
**simple****real****right**

sureness

**sure**

Schlechtigkeit    schlecht  
                          arg

(Hass)

Hässlichkeit    hässlich  
  
                          schäbig

öde

Sauberkeit    sauber  
Reinlichkeit    rein

Reinheit    rein

Schmutz    schmutzig  
Dreck    dreckig

Unflat    unflätig

badness    bad

ugliness    ugly

shabby

dull

cleanness    clean

purity    pure

(dirt)

dirtiness    dirty  
filth    filthy  
foulness    foul



|               |             |           |           |
|---------------|-------------|-----------|-----------|
| [hoher Preis] | teuer       | expense   | expensive |
|               | kostspielig |           | dear      |
|               | billig      |           | cheap     |
| Wahrheit      | wahr        | truth     | true      |
|               | echt        |           | genuine   |
| Falschheit    | falsch      | falseness | false     |
|               |             |           | wrong     |
| Klarheit      | klar        | clearness | clear     |

possibility

possible

necessity

necessary

likelihood

likely

Ruhm

berühmt

fame

famous

terror  
horror

terrible  
horrible

Same conclusion:

preferably N-from-A, but still pretty colourful, messy;  
quite a number of disagreements between German and English;  
which suggests lexeme-by-lexeme determination of direction of  
derivation, for each language, for this subdomain, too.

(Notice: *beauty* – *beautiful* is not an “isolated exception”.)

**domain: Physical Condition (essence rather than accident, of people and things)**

| Noun       | Adjective | Noun       | Adjective       |
|------------|-----------|------------|-----------------|
| Gänze      | ganz      | wholeness  | whole           |
| Wohlsein   | wohl      | wellness   | well            |
| Gesundheit | gesund    | health     | healthy<br>hale |
|            |           | soundness  | sound           |
| Krankheit  | krank     | illness    | ill             |
| (Seuche)   | siech     | sickness   | sick            |
| Unwohlsein | unwohl    | unwellness | unwell          |

|                 |             |           |          |
|-----------------|-------------|-----------|----------|
|                 |             | sanity    | sane     |
|                 | irr         |           | insane   |
| Wahnsinn        | wahnsinnig  | madness   | mad      |
|                 | verrückt    |           |          |
| Stärke          | stark       | strength  | strong   |
| Kraft           | kräftig     | force     | forceful |
| Schwäche        | schwach     | weakness  | weak     |
|                 |             |           | faint    |
|                 | spröde      |           | brittle  |
| (Gebrechen)     |             |           |          |
| Gebrechlichkeit | gebrechlich | frailty   | frail    |
| Blindheit       | blind       | blindness | blind    |
| Taubheit        | taub        | deafness  | deaf     |

|               |             |            |              |
|---------------|-------------|------------|--------------|
| Stummheit     | stumm       | muteness   | mute<br>dumb |
| Heiserkeit    | heiser      | hoarseness | hoarse       |
| Lahmheit      | lahm        | lameness   | lame         |
|               | verkrüppelt |            | crippled     |
|               | wund<br>weh |            | sore         |
| Schwindel     | schwindelig | dizziness  | dizzy        |
| Schläfrigkeit | schläfrig   | drowsiness | drowsy       |
| Nacktheit     | nackt       | nakedness  | naked        |
| Blöße         | bloß        | bareness   | bare         |

kahl

baldness

bald

zart

delicate

dicht

dense

zahm

tame

wild

wild

Armut

arm

poorness  
poverty

poor

Elend  
Not

elend

misery

miserable

Reichtum

reich

richness  
wealth

rich  
wealthy

|                       |                  |                      |                        |
|-----------------------|------------------|----------------------|------------------------|
| Wohlstand             | wohlhabend       |                      | prosperous<br>affluent |
| Einsamkeit            | allein<br>einsam | loneliness           | (a)lone<br>lonely      |
| Tod                   | tot              | death                | dead                   |
| Lebendigkeit<br>Leben | lebendig         | (live)<br>liveliness | alive                  |
| Hunger                | hungrig<br>satt  | hunger               | hungry<br>full         |
| Durst                 | durstig          | thirst               | thirsty                |



Leichtigkeit

leicht

ease

easy

lightness

light

schwer

(weight)

heaviness

heavy

locker

loose

lose

gerade

even

ungerade

odd

gleich

equal

ähnlich

similar

genau

exact

precise

Conclusion for this (semantically somewhat heterogeneous) lexical field:

green clearly predominates (well, there is *hunger* – *hungr-y*, *thirst* – *thirst-y*);  
i.e., nouns tend to be derived from adjectives, in both languages.

**domain: Size and Dimension (extension and orientation in space)****Noun****Adjective****Noun****Adjective**

Größe

groß

bigness

big  
large  
tall

Kleinheit

klein

small  
littlelong  
shortbreit  
weitbreadth  
widthbroad  
wideeng  
schmal

narrow

|             |  |                             |   |
|-------------|--|-----------------------------|---|
|             | dünn<br>schlank<br>mager<br>schmächtig     |                             | thin<br>slim<br>slender<br>lanky                |
| Beleibtheit | vollschlank<br>beleibt<br><br>dick<br>fett | plumpness<br><br>corpulence | plump<br>stout<br>corpulent<br>fat<br><br>thick |
| Umfang      | umfangreich                                | volume                      | voluminous                                      |
| Raum        | geräumig                                   | bulk<br>space<br>ampleness  | bulky<br>spacious<br>ample                      |
|             |  | depth                       | deep  |

|                    |                 |        |          |
|--------------------|-----------------|--------|----------|
|                    |                 | height | high     |
|                    | tief            |        | low      |
|                    | nieder, niedrig |        |          |
|                    | seicht          |        | shallow  |
|                    | flach           |        | flat     |
|                    | rund            |        | round    |
|                    | gerade          |        | straight |
| Quadrat<br>Viereck | quadratisch     | square | square   |
|                    | viereckig       |        |          |
|                    |                 |        |          |
|                    | eben            |        | plain    |
|                    | platt           |        |          |
|                    | schief          | (lean) | leaning  |

|                  |                |                   |
|------------------|----------------|-------------------|
|                  | slope          | sloping           |
| krumm            | crook<br>curve | crooked<br>curved |
| spitz            |                | pointed<br>acute  |
| leer<br>hohl     |                | empty<br>hollow   |
| voll             |                | full              |
| steil<br>schroff |                | steep             |
| prall            |                | buxom             |
| weit             |                | far               |

## Conclusion for this lexical field:

green clearly predominates;  
i.e., nouns (which are easy to fill in when a slot is left blank) – with a few conspicuous exceptions – are derived from adjectives, in both languages.

Notice that when nouns are exceptionally basic, a yet more complex noun can usually be derived from the derived adjective:

|              |          |
|--------------|----------|
| Raum         | geräumig |
| Geräumigkeit | geräumig |

|              |          |
|--------------|----------|
| space        | spacious |
| spaciousness | spacious |

|           |       |
|-----------|-------|
| bulk      | bulky |
| bulkiness | bulky |

**domain: Time: Age**

| Noun       | Adjective | Noun         | Adjective |
|------------|-----------|--------------|-----------|
| Alter      | alt       | age, oldness | old       |
| Jugend     | jung      | youth        | young     |
| Neuheit    | neu       | newness      | new       |
| Modernität | modern    | modernity    | modern    |
|            |           | recency      | recent    |
| Frische    | frisch    | freshness    | fresh     |
| Reife      | reif      | ripeness     | ripe      |
|            | gar       |              | mature    |
|            |           |              | done      |



|               |           |               |             |
|---------------|-----------|---------------|-------------|
|               | welk      | (wither)      | withered    |
| Frühe         | früh      | earliness     | early       |
| Spätheit      | spät      | lateness      | late        |
| (dauern)      | dauerhaft | (to last)     | lasting     |
| Dauer         |           | (to (en)dure) | durable     |
| Ewigkeit      | ewig      | eternity      | eternal [?] |
| Dringlichkeit | dringlich | urgency       | urgent      |

Conclusion for this lexical field:

(almost) all green, both German and English;  
i.e., nouns are (almost) always derived from adjectives.

**domain: Time: Speed****Noun****Adjective****Noun****Adjective**

Schnelligkeit

schnell

Langsamkeit

langsam

Geschwindigkeit

geschwind

flink

jäh

fastness

slowness

speed

swiftness

quickness

velocity

fast

slow

speedy

swift

quick

nimble

sudden

abrupt

Conclusion here too:

(almost) all green, both German and English;  
i.e., nouns are (almost) always derived from adjectives.

**domain: Sensory Perception: Colour, Smell, Taste, Touch, Hearing****Noun****Adjective****Noun****Adjective****Farbe**

farbig

**colour**

colourful

Buntheit

bunt

coloured

Schwärze

schwarz

blackness

black

blue

brown

green

grey

**orange?**

orange

pink

red

white

yellow

|            |          |          |          |
|------------|----------|----------|----------|
| Helligkeit | hell     |          | fair     |
|            |          |          | light    |
|            | grell    |          | bright   |
|            | blond    |          | blond(e) |
| Klarheit   | klar     | clarity  | clear    |
| Dunkelheit | dunkel   | darkness | dark     |
| Finsternis | finster  | gloom    | gloomy   |
| Düsternis  | düster   |          |          |
| Schatten   | schattig | shadow   | shadowy  |
|            |          | shade    | shady    |
| Blässe     | blass    |          | pale     |
|            | fahl     |          |          |
|            | matt     |          | matt     |
|            |          |          | dull     |
|            | trüb     |          |          |

|           |                 |                             |                                |
|-----------|-----------------|-----------------------------|--------------------------------|
| Nebel     | neblig          | fog<br>mist<br>haze         | foggy<br>misty<br>hazy         |
| Dunst     | dunstig         |                             |                                |
| (riechen) |                 | (to smell)                  |                                |
| Geruch    | (riechend)      | smell                       | smelly                         |
| Duft      | duftig, duftend | fragrance<br>odour<br>scent | fragrant<br>odorous<br>scented |
| Parfüm    | parfümiert      | perfume                     | perfumed                       |
| Aroma     | aromatisch      | aroma                       | aromatic                       |
| (stinken) |                 | (to stink)                  |                                |
| Gestank   | stinkend        | stink<br>stench             | stinking                       |

|             |             |            |           |
|-------------|-------------|------------|-----------|
| Muff        | muffig      | (must      | musty)    |
| Mief        |             |            |           |
| Moder       | modrig      | mustiness  | musty     |
| (beissen)   | beissend    | acridity   | acrid     |
| (schmecken) |             | (to taste) |           |
| Geschmack   | schmackhaft | taste      | tasty     |
|             |             | flavour    | flavoured |
| Pikantheit  | pikant      | savour     | savoury   |
| Würze       | würzig      | spice      | spicy     |
| Würzigkeit  | würzig      | spiciness  | spicy     |
|             | fad         |            | stale     |
|             |             |            | bland     |
|             |             |            | insipid   |
|             |             |            | flat      |



Bitterkeit

bitter  
herb

süß

sauer

bitterness

bitter

sweet

sour  
acid

(salt)  
saltiness

salty

Kälte

kalt

kühl

frisch

cold  
coldness

cold

cool

fresh

|                  |                               |                     |                     |
|------------------|-------------------------------|---------------------|---------------------|
|                  | lau(warm)                     |                     | luke(warm)<br>tepid |
| Wärme<br>Hitze   | warm<br>heiss<br>mild<br>lind | warmth<br>heat      | warm<br>hot<br>mild |
| Schwüle          | schwül                        | sultriness          | sultry<br>muggy     |
| Frost            | frostig                       | chill<br>chilliness | chilly<br>chilly    |
| Lautheit<br>Lärm | laut<br>lärmig                | loudness<br>noise   | loud<br>noisy       |

(ruhen)

Ruhe

ruhig

quiet

quiet

quietness

silence

silent

Stille

still

stillness

still

calm

calm

calmness

dumpf

dull

hollow

Nässe

nass

wetness

wet

feucht

moisture

moist

moistness

dampness

damp

klamm

numb

**Tau**

tauig

**dew**

dewy

fresh

Trockenheit  
Dürretrocken  
dürredryness  
drought

dry

Härte

hart  
fest  
firm  
scharf  
stumpf  
weich  
glatt

hardness

hard  
firm  
  
sharp  
blunt  
soft  
smooth

raw

faint

Conclusion for this lexical field (a sort of waste-paper basket: Physical Conditions and Size & Dimensions are also percepts, typically visual):

overwhelmingly green, for both languages;  
i.e., nouns are almost always derived from adjectives – with a few conspicuous exceptions, including the semantically circumscribed set of most/all smell terms. (Likewise for taste terms, *salz-ig/salt-y* are derived; but then the quality nouns, *Salzig-keit/salti-ness*, are in turn derived from the adjectives.)

And note again the derivability, in two steps of nouns from adjectives from exceptionally-basic nouns:

|                   |             |            |        |
|-------------------|-------------|------------|--------|
|                   |             | chill      | chilly |
|                   |             | chilliness | chilly |
| Geschmack         | schmackhaft | taste      | tasty  |
| Schmackhaftigkeit | schmackhaft | tastiness  | tasty  |

## **Typological evaluation**

In essence, the results above are compatible with typological systematisations of property concepts. The idea of these systems is to account for crosslinguistic preferences in word classes – nouns and verbs being available universally and a separate class of adjective only language-particularly – through which to express particular subsets of property concepts.

The classic system is Dixon's adjective hierarchy (1977), which orders subsets of property concepts (from left to right) so as to correspond to the order in which they will be expressed in a distinct word class of adjective which does not cover all property concepts:

relatively most least likely

type of property concept to be expressed through an adjective

AGE – COLOUR – DIMENSION – SPEED

VALUE PHYSICAL PROPERTY HUMAN.PROPENSITY

That is, if a language only has a single adjective (expressing other property concepts through nouns or verbs), it will be one for AGE; when adjectival inventories grow, they will include property concepts for COLOUR and/or VALUE; etc.

Our results are in line with this particular hierarchy (ignoring certain difficulties of assigning property concepts to the right classes) insofar as the domains for which adjectives have been found to be most consistently basic in English and German, and nouns derived from them, are on the adjectivety end on Dixon's hierarchy. For VALUE, however, our English and German results don't square with Dixon's ordering of this domain, here supposedly sharing with COLOUR a high adjectivety rank.



On Stassen's scale of verbiness and nouniness (1997), property concepts are arranged as follows, with those in the middle tending towards adjectival expression if a word class of adjective, distinct from those of verb and noun, is available in a language:

|                                   |           |                    |
|-----------------------------------|-----------|--------------------|
| verby                             |           | nouny              |
| (least time-stable)               |           | (most time-stable) |
| HUM.PROPENSITY – PHYSICAL PROP. – | DIMENSION | – VALUE – MATERIAL |
| COLOUR                            | AGE       | GENDER             |
|                                   | FORM      |                    |

Our results for German and English have adjectives as basic and nouns as derived for the domains of COLOUR, DIMENSION, AGE – as one would expect from Stassen's system. Also as expected, HUMAN PROPENSITY, at an extreme position on Stassen's scale, is not a domain where adjectives are basic with equal consistency; however, though at the verby end of Stassen's scale, they tend not to be derived from verbs, but rather from nouns. (It is only in German, but not in English, that ultimately there is sometimes a verbal source.)

Finally, it is hard to see how frequency of use could serve as an ultimate intellectually satisfying *explanandum* of such patterns – partly random, partly systematic – of differential asymmetries which equally manifest themselves in derivational directions and in word-class preferences of different subsets of property concepts.

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