#### **Semantics and Discourse**

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#### **Computational Semantics**

#### **Central Goals:**

1) Automate the process of associating semantic representations with natural language expressions.

2) Use logical representations of natural language expressions to automate the process of drawing inferences.

#### **Computational Semantics**

#### **Central Topics**:

- 1) Prediate-Argument Structure: like(vincent,maria)
- 2) Quantifier Scope (Blackburn and Bos 1999:64)
- 3) Reasoning/Deduction

Vincent knows every boxer. Butch is a boxer. Vincent knows Butch.

4) Lexical Semantics:

Semantic Nets, Ontologies (B&B 1999:149)

### **Computational Semantics**

#### **Central Topics (cont.)**:

5) Pronoun Resolution (B&B 1999b:62)

There are several different (bad to better) solutions to this. We'll look at **Centering Theory.** 

6) Discourse Analysis (Presuppositions, Conversational Maxims, Discourse Coherence, Temporal Relations). We'll look at a few examples of this kind of work.

## **Pronoun Resolution**

Pronoun Resolution is not easy: it involves a good understanding of the interaction between the syntax, semantics and pragmatics of a language.

In theoretical linguistics, the treatment of anaphora (superset of pronoun resolution) remains a tricky (=unresolved) issue.

Dalrymple (1993) provides a nice overview of the theoretical problems and solutions within LFG.

### **Pronoun Resolution**

Hobbs (1978, 1979) and works by Stanley Peters represent some complex semantic solutions to the problem.

The formulation of DRT (Discourse Representation Theory, Kamp and Reyle 1993) based on Heim's (1982) *file-change semantics* provided a new method of resolving anaphora in discourse within computational linguistics (see Bos and Blackburn 1999 for some discussion).

#### **Pronoun Resolution**

One approach which has been quite successful is **Centering Theory.** This approach has been pioneered at UPenn (Grosz, Sidner, Webber: see J&M 691-694 for references).

Centering Theory does not rely on an in-depth syntactic and semantic knowledge, but rather on a heuristic approach to identifying pronouns and possible antecedent NPs, and then ranking them in terms of discourse importance.

J&M describe the basic algorithm quite nicely (for more complex issues, see the Centering literature).

## Centering Theory

#### Sample Discourse:

John saw a beautiful Acura Integra at the dealership.  $(U_1)$ 

<u>He</u> showed <u>it</u> to Bob.  $(U_2)$ 

<u>He</u> bought <u>it</u>.  $(U_3)$ 

Think of each sentence as an Utterance (U<sub>n</sub>).

Task: Build up a *Discourse Model* and resolve the pronouns.

## Centering Theory

#### **Assumptions:**

Each Utterance has a *discourse center* (broadly equivalent to the idea of topic).

This center tends to be the *preferred antecedent* for a pronoun in a following utterance.

The first utterance in a discourse has an undefined discourse center (i.e., one needs to be established "on the fly").

## Centering Theory

#### **Definitions:**

Backward Looking Center (C<sub>b</sub>): current center of discourse.

Forward Looking Centers ( $C_f$ ): ordered list of entities mentioned in previous utterance ( $U_n$ ) which are candidates for the center of discourse in the current utterance ( $U_{n+1}$ ).

*Preferred Center* ( $C_p$ ) for current utterance ( $U_{n+1}$ ): highest forward looking center ( $C_f$ ) from previous utterance ( $U_n$ )

# Centering Theory

**Discourse Transitions:** Based on these definitions, one can now define a number of relations which hold between sentences and which model how successful/acceptable transitions *between* utterances are.

This discourse is not smooth:

John saw a beautiful Acura Integra at the dealership.  $(U_1)$ Mary showed a watch to Bob.  $(U_2)$ 

<u>He</u> bought <u>it</u>.  $(U_3)$ 

### **Discourse Transitions**

	$C_b(U_{n+1})=C_b(U_n)$ or undefined $C_b(U_n)$	$C_b(U_{n+1}) \neq C_b(U_n)$
$C_b(U_{n+1}) = C_p(U_{n+1})$	CONTINUE	SMOOTH-SHIFT
$\mathbf{C}_{\mathbf{b}}(\mathbf{U}_{\mathbf{n+1}}) \neq \mathbf{C}_{\mathbf{p}}(\mathbf{U}_{\mathbf{n+1}})$	RETAIN	ROUGH-SHIFT

(from J&M:692)

Utterances should be linked by these transitions and rough shifts should be dispreferred.

#### The Centering Algorithm

#### **Basic Rules:**

1) If an element was realized as a pronoun, keep referring to it as a pronoun.

2) The Transition states are ordered: Continue > Retain >Smooth-Shift > Rough-Shift

## The Centering Algorithm

#### **Basic Steps:**

1) Generate possible  $C_{b}C_{f}$  combinations.

2) Filter the possible combinations by the basic rules, morphological/syntactic constraints and whatever else one may have defined.

3) Rank by Transition Orderings

# Applying the Algorithm

John saw a beautiful Acura Integra at the dealership.  $(U_1)$ 

<u>He</u> showed <u>it</u> to Bob.  $(U_2)$ 

<u>He</u> bought <u>it</u>.  $(U_3)$ 

 $C_{f}(U_{1})$ : {John, Integra, dealership}

 $C_p(U_1)$ : {John}

 $C_b(U_1)$ : {undefined}

# Applying the Algorithm

Transition: Continue  $(C_p(U_2)=C_b(U_2); C_b(U_1) \text{ undefined})$ 

Possibility 2 for U<sub>2</sub>:  $C_f(U_2)$ : {John, dealership, Bob}  $C_p(U_2)$ : {John}  $C_b(U_2)$ : {John} Transition: Continue ( $C_p(U_2)=C_b(U_2)$ ;  $C_b(U_1)$  undefined)

## Applying the Algorithm

Possibilities 1 and 2 are equally likely in terms of the discourse transitions. We could decide to slightly prefer Possibility 1 because of the initial ordering in  $U_1$ .

 $C_{f}(U_{1})$ : {John, Integra, dealership}

## Applying the Algorithm

Possibility 1 for U3: $C_f(U_3)$ : {John, Acura} $C_p(U_3)$ : {John} $C_p(U_3)$ : {John}Preferred $C_p(U_3)$ : {John}

Transition: Continue  $(C_p(U_3)=C_b(U_3)=C_b(U_2))$ 

Possibility 2 for U<sub>3</sub>:  $C_f(U_3)$ : {Bob, Acura}  $C_p(U_3)$ : {Bob}  $C_b(U_3)$ : {Bob} Transition: Smooth-Shift ( $C_p(U_3)=C_b(U_3)$ ;  $C_b(U_3) \neq C_b(U_2)$ )

### More Discourse Factors

Text or Discourse Coherence is governed by a number of further factors:

- 1) Turn-Taking
- 2) Coherence Relations
- 3) Conversational Implicatures

## **Coherence Relations**

That the flow of a discourse can seem more or less natural to us (i.e., we find some discourses "odd") can be explained via the fact that discourses in general have *structures* and that these structures are governed by coherence relations (see J&M:695-696, 701, 705).

### **Coherence Relations**

Some Coherence Relations proposed by Hobbs (1979):

**Result**: Infer that state or event asserted by  $U_1$  could cause the state or event asserted by  $U_2$ .

John bought an Acura. His father went ballistic.

**Explanation**: Infer that state or event asserted by  $U_2$  could explain/cause the state or event asserted by  $U_1$ . John hid Bill's car keys. He was drunk.

**Elaboration**: Infer the same proposition P from the assertations of  $U_1$  and  $U_2$ .

John bought an Acura this weekend. He purchased a beautiful new Integra for \$ 20 000 at Bill's dealership.

## **Conversational Implicatures**

Grice pointed out that conversations follow certain *maxims* (J&M:726-727).

- 1) Maxim of Quantity: Be exactly as informative as required.
- 2) Maxim of Quality: Try to make a contribution be a true one.
- 3) Maxim of Relevance: Be relevant.
- 4) Maxim of Manner: Avoid being obscure, ambiguous, longwinded, disorganized.

Utterance: I have 2 siblings.

Inferences due to the Maxims: I have exactly 2 siblings, not 3 or more (though this could be truth-conditionally possible).

## **Computational Applications**

#### **Some Examples:**

Lascarides and Asher (1993): Explain a number of discourse coherence phenomena by figuring out algorithms to reason about them (in implementations).

Glasbey (1993): Uses discourse relations to computationally disambiguate sentence-final *then* in English.

## Lascarides and Asher

**Discourse Relations**: Explanation, Elaboration, Narration, Background, Result (see handout).

**Defeasible Axioms**: e.g., Penguin Principle, Nixon Diamond (see handout).

#### **Examples:** Max fell. John pushed him.

We know that Max fell because John pushed him because of the Penguin Principle.

? Max won the race. He was home with the cup. We know this is odd because he couldn't be winning a race and being at home at the same time (Nixon Diamond).

### Lascarides and Asher

**Discourse Structure**: can assign a structure to a given discourse and see whether it is well-formed (similar to work by Livia Polanyi).

a. Guy experienced a lovely evening last night.
b. He had a fantastic meal.
c. He ate salmon.
d. He devoured lots of cheese.
e. He won a dancing competition.

A good discourse structure can be built up according to the discourse relations and the axioms (see handout), however e is odd and can only be attached to the discourse if one assumes the axioms are **defeasible**.

### Sentence-Final Then

*Emily climbed Ben Nevis in July. Fiona climbed Snowden then.* (Explicit Temporal Reference)

If there is no explicit time phrase in the preceding sentence, then one has to **infer** a different relation: **elaboration**.

*Emily climbed Ben Nevis. She achieved her ambition then.* (Elaboration)

Glasbey defines an algorithm to disambiguate sentence-final then in computational applications based on discourse relations.

### References

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