# Computational semantics – Introduction –

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Machine Language Processing

### Motivation

### Semantics of natural language

"You know, somebody actually complimented me on my driving today. They left a little note on the windscreen; it said, 'Parking Fine'. So that was nice."

Tim Vine (English actor)

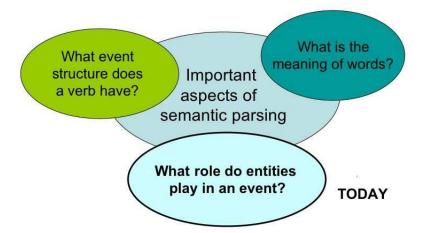
### Motivation

- Syntactic parsers have become more and more robust
- Automatic semantic analysis has come into the reach of NLP applications

#### Central question of semantic NLP:

Who did What to Whom, and How, When and Where?

### Overview



### Outline

- Thematic roles
  - Fillmore (1967)
  - Dowty (1991)
  - Common set of roles
  - Propbank
- Semantic Role Labeling
  - Introduction
  - State of the art

#### Argument structure

- Each verb has a unique meaning associated with the event it denotes
- This meaning is intrinsically linked to the number of participants in the event
- → Verbs are classified as intransitive (one participant), transitive (two participants) and ditransitive (three participants)

#### Intransitives

- (1) a. Ram slept under a tree.
  - b. The girl sang all afternoon.

#### **Transitives**

- (2) a. John broke the vase.
  - b. The farmer sowed the rice.

#### **Ditransitives**

- (3) a. John sent Mary books.
  - b. The man put the money on the table.

Stochastic syntactic analysis (parser trained on PennTreebank)

"I enjoy teaching in Konstanz."

### Stochastic syntactic analysis (parser trained on PennTreebank)

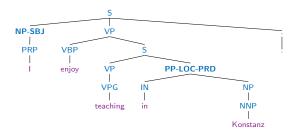
"I enjoy teaching in Konstanz."

```
(S (NP-SBJ (PRP I)) (VP (VBP enjoy) (S (VP (VPG teaching) (PP-LOC-PRD (IN in) (NP (NNP Konstanz))))))(. .))
```

### Stochastic syntactic analysis (parser trained on PennTreebank)

"I enjoy teaching in Konstanz."

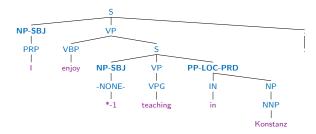
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### Stochastic syntactic analysis (parser trained on PennTreebank)

"I enjoy \_\_ teaching in Konstanz." — long-distance dependency

(S (NP-SBJ (PRP I)) (VP (VBP enjoy) (S **(NP-SBJ (-NONE- \*-1))** (VP (VPG teaching) (PP-LOC-PRD (IN in) (NP (NNP Konstanz)))))) (. .))



#### Rule-based deep grammars (English XLE grammar)

```
"I enjoy teaching in Konstanz."
```

```
PRED
          'eniov<[-5-SUBJ:I], [-5-XCOMP:teach >'
          ידי משאפו
          NTYPE [NSYN pronoun]
  SUBJ
          CASE nom, HUMAN +, NUM sq, PERS 1, PRON-TYPE pers
          PRED
                   'teach<[-5-SUBJ:I]>'
           SUBJ
                   [-5-SUBJ:T]
                           'in<[-4-OBJ:Konstanz ▷'
                            [PRED 'Konstanz'
                            CHECK LEX-SOURCE morphology
                      овј
                                   NSEM PROPER [LOCATION-TYPE city, PROPER-TYPE location]
           ADJUNCT
  XCOMP.
                                   NSYN proper
                            CASE obl, NUM sg, PERS 3
                      PSEM
                    4 PTYPE sem
           CHECK
                   _SUBCAT-FRAME V-SUBJ
           TNS-ASP PERF -_, PROG +_]
          PASSIVE -, VTYPE main
          SUBCAT-FRAME V-SUBJ-XCOMPprod
  CHECK
  TNS-ASP MOOD indicative, PERF -_, PROG -_, TENSE pres
-5 CLAUSE-TYPE decl, PASSIVE -, VTYPE main
                                                                                         10 / 51
```

#### Some questions in semantic NLP:

- In what way do syntactic arguments participate in an event?
  - $\bullet$  assignment of thematic roles to syntactic arguments  $\to$  linking theory
- Is there a way of automatically assigning these roles?

#### 1. Thematic roles

Claim: thematic roles are consistent across different syntactic realizations

John broke the vase.
Syntax: Subject Verb Object
Thematic roles: Agent Patient

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Syntax: Subject Verb Object
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Thematic roles: Agent Patier

The vase broke.

Syntax: Subject Verb

Thematic roles: Patient

#### 1. Thematic roles

Claim: thematic roles are consistent across different syntactic realizations

The vase was broken.

Syntax: Subject Verb

Thematic roles: Patient

#### 1. Thematic roles

Claim: thematic roles are consistent across different syntactic realizations

The vase was broken.

Syntax: Subject Verb

Thematic roles: Patient

The vase was broken by John

Syntax: Subject Verb PP

Thematic roles: Patient Agent

### Outline

- Thematic roles
  - Fillmore (1967)
  - Dowty (1991)
  - Common set of roles
  - Propbank
- Semantic Role Labeling
  - Introduction
  - State of the art

### **Basics**

- Assumption: Sentence consists of a verb and one or more noun phrases
- Each noun phrase is related to the verb with a particular case relationship (case = thematic role)
- Each case relationship occurs only once in a sentence
- Verbs are classified according to the available case relations in the sentence

### Examples

(4) a. [John]<sub>SUBJ</sub> broke the window.

Agent Theme

b. [The hammer]<sub>SUBJ</sub> broke the window.

Instrument Theme

c. [John]<sub>SUBJ</sub> broke the window with a hammer.

Agent Theme Instrument

### Examples

(5) a.  $[John]_{SUBJ}$  broke the window.

Agent Theme

b. [The hammer]<sub>SUBJ</sub> broke the window.

Instrument Theme

c. [John]<sub>SUBJ</sub> broke the window with a hammer.

Agent Theme Instrument

d.  $\#[John and the hammer]_{SUBJ}$  broke the window.

Agent Instrument Theme

e. #[The hammer]<sub>SUBJ</sub> broke the window with a chisel Instrument Theme Instrument

### Fillmore's case notions

### Agent (A)

The case of the perceived instigator of the action, typically animate.

John opened the door.

### Instrumental (I)

The case of the inanimate force or object causally involved in the action or state.

The key opened the door.

### Dative (D)

The case of the animate being affected by the state or action.

He gave his brother the ball.

### Fillmore's case notions

### Factitive (F)

The case of the object or being resulting from the state or action of the verb.

The mother baked a cake.

### Locative (L)

The case of the location or spatial orientation of the state or action of the verb.

I flew to Kathmandu.

### Objective

The case of things which are affected by the state or action of the verb.

He gave his brother the ball.

### Fillmore's case relations

- Each verb has a specific array of cases, for example:
  - to run: [ Agent \_\_\_ ]
  - to remove/to open: [ Agent \_\_\_ Objective ]
  - to give: [ Agent \_\_ Dative Objective ]
- BUT: verbs can appear with more than one case frame

### Fillmore's case relations

#### Task #1

What are the case frames for the constructions below?

- (6) a. The wind opened the door.
  - b. She gave the idea a second thought.
  - c. The water runs.
  - d. The thought of Ben killed her.

Role	Example
Agent	John opened the door.
Instrumental	The key opened the door.
Dative	He gave <i>his brother</i> the ball.
Factitive	The mother baked a cake.
Locative	I flew to Kathmandu.
Objective	He gave his brother the ball.

### Fillmore's case relations

### Task #2

What are the problems of Fillmore's system (particularly in NLP applications)?

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### **Basics**

- Two core roles: PROTO-AGENT and PROTO-PATIENT
- Role types are not discrete categories but rather cluster concepts
- "Different degrees of membership" in a role type
- Arguments entail features of the proto-roles

### Proto-roles

#### PROTO-AGENT properties

- a. volitional involvement in the event or state e.g. *John is polite to Mary.*
- b. sentience (and/or perception)
  - e.g. John sees/fears Mary.
- c. causing an event or change of state in another participant e.g. *John's loneliness causes his unhappiness*.
- d. movement (relative to the position of another participant) e.g. Water filled the boat.
- (e. exists independently of the event named by the verb) e.g. John needs a new car.

### Proto-roles

#### PROTO-PATIENT properties

- a. undergoes change of state or location e.g. *John moved the rock*.
- b. incremental theme
  e.g. John filled the glass with water.
- c. causally effected by another participant e.g. *Smoking causes cancer.*
- d. stationary relative to movement of another participant e.g. *The bullet entered the target.*
- (e. does not exist independently of the event, or not at all) e.g. John built a house.

### Proto-roles

- Less prototypical Agent: Experiencer
  - Sentience, causation, movement
  - Sally felt the heat.
- Even less prototypical Agent: Instrument
  - Causation, movement
  - The knife cut through the flesh.
- Less prototypical Patient: Theme
  - Incremental theme, change
  - Ben watched the game.

### Links between grammatical relations and thematic roles

### Argument selection principle

- Argument with the highest number of Proto-Agent properties is the subject
- Argument with the highest number of Proto-Patient is the direct object

# Links between grammatical relations and thematic roles

#### Task #3

What role properties do the arguments in the following constructions correspond to?

- (7) a. Captain Nemo sank the ship with a torpedo.
  - b. A torpedo sank the ship.
  - c. The ship sank.
- (8) a. John fears thunder.
  - b. Thunder scares John.

# Role hierarchy

- The argument selection principle determines a thematic role hierarchy
- " >" means "outranks for subject"

$$\begin{array}{l} \mathsf{Agent} > \left\{ \begin{array}{l} \mathsf{Instrument} \\ \mathsf{Experiencer} \end{array} \right\} > \mathsf{Patient} > \left\{ \begin{array}{l} \mathsf{Source} \\ \mathsf{Goal} \end{array} \right\} \end{array}$$

- Strong agents outrank strong patients for subjecthood
- Instruments and experiencers outrank patient-like argument

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### Definitions adapted from http://www.sil.org/linguistics/GlossaryOfLinguisticTerms/ WhatIsASemanticRole.htm

- Agent: a person or thing who is the doer of an event
- Patient/Theme: affected entity in the event; undergoes the action
- Experiencer: receives, accepts, experiences, or undergoes the effect of an action
- Stimulus: the thing that is felt or perceived
- **Goal**: place to which something moves, or thing toward which an action is directed.
- Recipient (sometimes grouped with Goal)

- Source (sometimes grouped with Goal): place or entity of origin
- Instrument: an inanimate thing that an Agent uses to implement an event
- Location: identifies the location or spatial orientation of a state or action
- Manner: how the action, experience, or process of an event is carried out.
- Measure: notes the quantification of an event

## Let's annotate some data!

Agent Patient. Experiencer Stimulus Goal Recipient Source Instrument Location Manner Measure

- Mary hid in the cupboard.
- Sam broke the vase.
- The vase broke.
- Carla fears snakes.
- She reached Mumbai early.
- The mother gave the child some candy.
- Wer dress cost 10 €.
- Cookies make great desserts.

## Problems with thematic roles

- No fixed, finite set of thematic roles definable
- The delimitation of roles is problematic
- Conceptual domains can cut across role distinctions

#### One way to deal with this in NLP:

adopt a small set of roles (PropBank)

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#### **Facts**

- Section of Penn Treebank 2 annotated with thematic roles
- 112917 annotated examples
- 3257 unique verbs
- Core arguments are numbered, optional arguments receive a label
- Primary goal of developing an annotated corpus as training data for supervised machine learning systems
- Facilitates experiments of the sort that dominate NLP currently

#### Frame file

#### Frame: increase, 01

- name: go up incrementally
- vncls: 45.4 45.6
- ABG0 causer of increase
- ARG1 thing increasing
- ARG2 amount increased by, EXT or MNR
- ARG3 start point
- ARG4 end point

(vntheta: Agent)

(vntheta: Patient)

(vntheta: Extent)

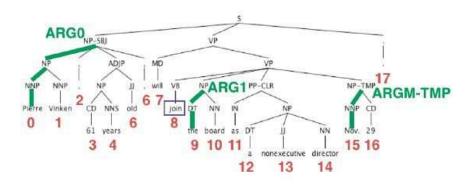
(vntheta: -)

(vntheta: -)

#### Examples

- 1 [ARGO The Polish government] [rel increased] [ARGI home electricity charges] [ARG2-EXT by 150%].
- [2] [ARG1 The nation's exports] [rel increased] [2-EXT 4%] [4-2 to \$50.45 billion].
- [3] [ARG1 Output] will be [2-MNR gradually] [rel increased].

### Frame file



taken from Christopher Potts on Semantic Role Labeling

# PropBank argument labels

		Label	
<u>f</u>	Label	EXT DIR LOC TMP	extent direction location temporal
rel ARGA ARGM ARG0 ARG1 ARG2	the verb causative agent adjuncts generally subj generally dobj generally iobj	REC PRD NEG MOD ADV MNR CAU PNC DIS	reciprocal predication negation modal adverbial manner cause purpose not cause discourse

taken from Christopher Potts on Semantic Role Labeling

# Propbank

#### Virtues

- Full gold-standard parses
- Different levels of annotation for one corpus (syntax, thematic roles)

#### Drawbacks

- labels ARG2-5 are overloaded (VerbNet and FrameNet provide more fine grained role labels)
- WSJ is too domain specific and too financial
- What about argument-taking nouns and adjectives?

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### Overview

## Semantic role labeling (SRL)

The task of automatically finding the semantic roles for each predicate in the sentence.

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The girl $_{Agent}$  ate the cake $_{Theme}$ .

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- View from Information Extraction
  - basic event structure
  - "Who did what to whom, how when and where?"
- View from Computational Linguistics
  - identify predicate-argument structure
  - identify the arguments of a sentence, assign semantic labels that describe their roles

SRL slides taken from Jurafsky and Martin (chapter 20)

Introduction

#### Overview

- Boost in interest in SRL in the last decade
  - Availability of large enough annotated corpora (PropBank, FrameNet)
- Typically, SRL is done from parses
  - Syntax-semantics interface
  - Need of parsed data or parser
- Complex systems, technical difficulties, theoretical linguistic challenge

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# Typical approach

#### Step 1: Identification: which phrases are role-bearing?

- Necessary for real-world tasks
- Rather syntactic task
- Constituents that can be an argument
- Role-bearing phrases need not necessarily be constituents
   →enormous search space
- Arguments are more easy to identify than adjuncts (70-90% against 60%)

# Typical approach

#### Step 2: Classification: what role do role-bearing phrases play?

- More semantic task
- Highly dependent on the set of roles
- Importance of selectional restrictions (lexical semantics)
- Enormous search space

#### Step 3: Evaluation: how accurate is the labeling?

- Very tricky to get right
- Are some argument types more important than others?
- Are some mis-classifications worse than others?

# Gildea and Jurafsky (2002)

#### Semantic role labeling system

- Seminal work on assigning semantic roles to a corpus
- Features needed for their labeling system:
  - Lexical (predicate, subcategorization frame, head word of the constituent)
  - Syntactic (phrase type of constituent, POS of headword, path)
  - Other (voice, linear position)

# How good can we get?

- last 5 years: 9 evaluations in several competitions
  - CoNLL, SemEval, SensEval, several years
- SRL on PropBank
  - given predicates, identify+label arguments
  - ullet pprox 80% F-score on Wall Street Journal
  - ≈ 70% Brown corpus
  - results on unseen predicates, WSJ:  $\approx 70\%$  (low generalization)
- ullet realistic setting (SemEval 2007): overall pprox 45% F-score
  - identify predicates and arguments + label them in running text; FrameNet roles

#### **Problems**

#### Current systems

- Complex (integration of syntax and semantics, identification, ranking, combination of classifiers...)
- Slow!
- ullet Syntax: need parser o degrades performance o bottleneck
- Domain dependency: consistent degradation of 10% of f-score when training on WSJ and testing on Brown

## Wrap-up

# Who did What to Whom, and How, When and Where?

- In what way do syntactic arguments participate in an event?
  - Several theoretical approaches to thematic roles are available
  - Concept is hard to formalize
- Is there a way of automatically assigning these roles?
  - Yes, shown as early as Gildea and Jurafsky (2002)
  - Performance and speed still need to be improved

# Wrap-up

How do you call Santa's little helpers?



# Wrap-up

How do you call Santa's little helpers?



Subordinate Clauses.

**Happy Christmas!**